IGMP/MLD-based Multicast Forwarding ("IGMP/MLD Proxying")

1. Introduction
This document applies spanning tree multicast routing [Deering91] to an Internet Group Management Protocol (IGMP) or Multicast Listener Discovery (MLD) only environment. The topology is limited to a tree, since we specify no protocol to build a spanning tree over a more complex topology. The root of the tree is assumed to be connected to a wider multicast infrastructure.

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1.1. Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [Bradner97].

2. Definitions

2.1. Upstream Interface

A proxy device's interface in the direction of the root of the tree. Also called the "Host interface".

2.2. Downstream Interface

Each of a proxy device's interfaces that is not in the direction of the root of the tree. Also called the "Router interfaces".

2.3. Group Mode
In IPv4 environment, for each multicast group, a group is in IGMP version 1 (IGMPv1) [Deering89] mode if an IGMPv1 report is heard. A group is in IGMP version 2 (IGMPv2) [Fenner97] mode if an IGMPv2 report is heard but no IGMPv1 report is heard. A group is in IGMP version 3 (IGMPv3) [CDFKT02] mode if an IGMPv3 report is heard but no IGMPv1 or IGMPv2 report is heard.

In IPv6 environment, for each multicast group, a group is in MLD version 1 (MLDv1) [DFH99] mode if a MLDv1 report is heard. MLDv1 is equivalent to IGMPv2. A group is in MLD version 2 (MLDv2) [VCFDFKH02] mode if an MLDv2 report is heard but no MLDv1 report is heard. MLDv2 is equivalent to IGMPv3.

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2.4. Subscription

When a group is in IGMPv1 or IGMPv2/MLDv1 mode, the subscription is a group membership on an interface. When a group is in IGMPv3/MLDv2 mode, the subscription is a an IGMPv3/MLDv2 state entry (i.e. a (multicast address, group timer, filter-mode, source-element list) tuple) on an interface.

2.5. Membership Database

The database maintained at each proxy device into which the membership information of each of its downstream interfaces is merged.

3. Abstract protocol definition

A proxy device performing IGMP/MLD-based forwarding has a single upstream interface and one or more downstream interfaces. These designations are explicitly configured; there is no protocol to determine what type each interface is. It performs the router portion of the IGMP [Deering89, Fenner97, CDFKT02] or MLD [DFH99, VCFDFKH02] protocol on its downstream interfaces, and the host
portion of IGMP/MLD on its upstream interface. The proxy device
MUST
NOT perform the router portion of IGMP/MLD on its upstream
interface.

The proxy device maintains a database consisting of the merger of all
subscriptions on any downstream interface. Refer to section 4 for the
details about the construction and maintenance of the membership
database.

The proxy device sends IGMP/MLD membership reports on the upstream
interface when queried, and sends unsolicited reports or leaves when
the database changes.

When the proxy device receives a packet destined for a multicast
group (channel in Source-Specific Multicast (SSM)), it uses a list
consisting of the upstream interface and any downstream interface
which has a subscription pertaining to this packet and on which it is
the IGMP/MLD Querier. This list may be built dynamically or
cached.
It removes the interface on which this packet arrived from the list
and forwards the packet to the remaining interfaces.

Note that the rule that a proxy device must be the querier in order
to forward packets restricts the IP addressing scheme used; in particular, the IGMP/MLD-based forwarding devices must be given the

lowest IP addresses of any potential IGMP/MLD Querier on the link, in
order to win the IGMP/MLD Querier election. If another device wins
the IGMP/MLD Querier election, no packets will flow.

The election of a single forwarding proxy is necessary to avoid local
loops and redundant traffic for links which are considered to be
downstream links by multiple IGMP/MLD-based forwarders. This rule
"piggy-backs" forwarder election on IGMP/MLD Querier election. The
use of the IGMP/MLD Querier election process to choose the forwarding
proxy delivers similar functionality on the local link as the
Protocol Independent Multicast (PIM) Assert mechanism. On a link with only one IGMP/MLD-based forwarding device, this rule MAY be disabled (i.e. the device MAY be configured to forward packets to an interface on which it is not the querier). However, the default configuration MUST include the querier rule.

For example, for redundancy purpose, as shown in the figure below:

```
LAN 1 --------------------------------------
   Upstream |     | Upstream
         A   B
   Downstream |     | Downstream
 --------------------------------------
LAN 2
```

LAN 2 can have two proxy devices A and B. In such configuration, one proxy device must be elected to forward the packets. This document requires that the forwarder must be the IGMP/MLD querier. So proxy device A will forward packets to LAN 2 only if A is the querier.

In the above figure, if A is the only proxy device, A can be configured to forward packets even though B is the querier.

Note that this does not protect against an "upstream loop". For example, as shown in the figure below:

```
LAN 1 --------------------------------------
   Upstream |     | Downstream
         A   B
   Downstream |     | Upstream
 --------------------------------------
LAN 2
```

B will unconditionally forward packets from LAN 1 to LAN 2, and A will unconditionally forward packets from LAN 2 to LAN 1. This will cause an upstream loop. A multicast routing protocol which employs a tree building algorithm is required to resolve loops like this.
This specification describes a protocol that works only in a simple tree topology. The tree must be manually configured by designating upstream and downstream interfaces on each proxy device, and the root of the tree is expected to be connected to a wider multicast infrastructure.

3.2. Supporting Senders

In order for senders to send from inside the proxy tree, all traffic is forwarded towards the root. The multicast router(s) connected to the wider multicast infrastructure should be configured to treat all systems inside the proxy tree as though they were directly connected -- e.g., for Protocol Independent Multicast - Sparse Mode (PIM-SM) [FHHK02], these routers should Register-encapsulate traffic from new sources within the proxy tree just as they would directly-connected sources.

This information is likely to be manually configured; IGMP/MLD-based multicast forwarding provides no way for the routers upstream of the proxy tree to know what networks are connected to the proxy tree. If the proxy topology is congruent with some routing topology, this information MAY be learned from the routing protocol running on the topology; e.g. a router may be configured to treat multicast packets from all prefixes learned from routing protocol X via interface Y as though they were from a directly connected system.

4. Proxy Device Behavior

This section describes an IGMP/MLD-based multicast forwarding device's actions in more detail.

4.1. Membership Database

The proxy device performs the router portion of the IGMP/MLD protocol on each downstream interface. For each interface, the version of IGMP/MLD used is explicitly configured and defaults to the highest version supported by the system.

The output of this protocol is a set of subscriptions; this set is maintained separately on each downstream interface. In addition, the subscriptions on each downstream interface are merged into the
The membership database is a set of membership records of the form:

\[(multicast-address, filter-mode, source-list)\]

Each record is the result of the merge of all subscriptions for that record's multicast-address on downstream interfaces. If some subscriptions are IGMPv1 or IGMPv2/MLDv1 subscriptions, these subscriptions are converted to IGMPv3/MLDv2 subscriptions. The IGMPv3/MLDv2 and the converted subscriptions are first preprocessed to remove the timers in the subscriptions, and if the filter mode is EXCLUDE, to remove every source whose source timer > 0. Then the preprocessed subscriptions are merged using the merging rules for multiple memberships on a single interface specified in the IGMPv3/MLDv2 specification [CDFKT02, VCFDFKH02] to create the membership record. For example, there are two downstream interfaces I1 and I2 that have subscriptions for multicast address G. I1 has an IGMPv2/MLDv1 subscription that is (G). I2 has an IGMPv3/MLDv2 subscription that has membership information (G, INCLUDE, (S1, S2)). The I1's subscription is converted to an IGMPv3/MLDv2 subscription that has membership information (G, EXCLUDE, NULL). Then the subscriptions are preprocessed and merged and final membership record is (G, EXCLUDE, NULL).

The proxy device performs the host portion of the IGMP/MLD protocol on upstream interface. If there is an IGMPv1 or IGMPv2/MLDv1 querier on upstream network, then the proxy device will perform IGMPv1 or IGMPv2/MLDv1 on upstream interface accordingly. Otherwise, it will perform IGMPv3/MLDv2.

If the proxy device performs IGMPv3/MLDv2 on upstream interface, then when the composition of the membership database changes, the change in the database is reported on the upstream interface as though this
proxy device were a host performing the action. If the proxy device
performs IGMPv1 or IGMPv2/MLDv1 on upstream interface, then when the
membership records are created or deleted, the changes are reported
on the upstream interface. All other changes are ignored. When the
proxy device reports using IGMPv1 or IGMPv2/MLDv1, only the multicast
address field in the membership record is used.

4.2. Forwarding Packets

A proxy device forwards packets received on its upstream interface
to each downstream interface based upon the downstream interface's
subscriptions and whether or not this proxy device is the IGMP/MLD
Querier on each interface. A proxy device forwards packets received
on any downstream interface to the upstream interface, and to each
downstream interface other than the incoming interface based upon the
downstream interfaces' subscriptions and whether or not this proxy
device is the IGMP/MLD Querier on each interface. A proxy device
MAY use a forwarding cache in order not to make this decision for each

4.3. SSM Considerations

To support Source-Specific Multicast (SSM), the proxy device
should be compliant with the specification about using IGMPv3 for SSM
[HC01]. Note that the proxy device should be compliant with both the
IGMP Host Requirement and the IGMP Router Requirement for SSM
since it performs IGMP Host Portion on upstream interface and IGMP
Router Portion on each downstream interface.
An interface can be configured to perform IGMPv1 or IGMPv2. In this scenario, the SSM semantic will not be maintained for that interface.

However, a proxy device that supports this document should ignore those IGMPv1 or IGMPv2 subscriptions sent to SSM addresses. And more importantly, the packets with source-specific addresses SHOULD not be forwarded to interfaces with IGMPv2 or IGMPv1 subscriptions for these addresses.

5. Security Considerations

Since only the Querier forwards packets, the IGMP/MLD Querier election process may lead to black holes if a non-forwarder is elected Querier. An attacker on a downstream LAN can cause itself to be elected Querier resulting in no packets being forwarded.

IGMP/MLD-based forwarding does not provide "upstream loop" detection mechanism as described in section 3. Hence to avoid the problems caused by "upstream loop", it MUST be administratively assured that such loops don't exist when deploying IGMP/MLD Proxying.

The IGMP/MLD information presented by the proxy to its upstream routers is the aggregation of all its downstream group membership information. Any access control applied on the group membership protocol at the upstream router can not be performed on a single subscriber. That is, the access control will apply equally to all the interested hosts reachable via the proxy device.

Normative References

Bradner97 Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", RFC 2119/BCP 14, Harvard University, March 1997.

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CDFKT02 Cain, B., S. Deering, B. Fenner, I. Kouvelas and A. Thyagarajan, "Internet Group Management Protocol,


Informative References


HC01  Holbrook, H., and Cain, B., "Using IGMPv3 for Source-Specific Multicast", Work in Progress.

Author's Address:

William C. Fenner  
AT&T Labs - Research  
75 Willow Rd  
Menlo Park, CA 94025  
Phone: +1 650 330 7893  
Email: fenner@research.att.com  

Haixiang He  
Nortel Networks  
600 Technology Park Drive  
Billerica, MA 01821  
Phone: +1 978 288 7482  
Email: haixiang@nortelnetworks.com