

EN 303 470 V0.0.3 (2017-03)



**Measurement Process for Energy Efficiency KPI
for Servers**

WORKING DRAFT



1
2
3

Reference

<Workitem>

Keywords

<keywords>

ETSI

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Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

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 80 pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found
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 82 *respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web
 83 server (<http://ipr.etsi.org>).

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 85 can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web
 86 server) which are, or may be, or may become, essential to the present document.

87 Foreword

88 This European Standard (EN) has been produced by ETSI Technical Committee Environmental Engineering (EE).

89 **The present document is**

90

National transposition dates	
Date of adoption of this EN:	1 October 2014
Date of latest announcement of this EN (doa):	31 January 2015
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	31 July 2015
Date of withdrawal of any conflicting National Standard (dow):	31 July 2015

91

92

93

94 Modal verbs terminology

95 In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and
96 "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of
97 provisions).

98
99 "must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

100 Introduction

101 The present document specifies a metric for the assessment of energy efficiency of computer servers using reliable,
102 accurate and reproducible measurement methods, which take into account the recognised state of the art.

103 The specification formalizes the metrics representing a single figure of merit of a single computer server representing
104 the relative efficiency and power impact at a data centre level of deployment. The metric is targeted for use as a tool in
105 the selection process of servers to be provisioned for a data centre.

106 **Editors NOTE: still need to elaborate on this here**

107 The present document is based upon the Server Efficiency Rating Tool (SERT)TM of the Standard Performance
108 Evaluation Corporation (SPEC) and takes into account:

- 109
- 110 • the Ecodesign Technical Assistance Study on Standards for Lot 9 Enterprise Servers and Enterprise Data Storage
 - 111 • energy efficiency KPIs standardisation in ISO/IEC JTC/1 SC/39 and CLC TC 215;
 - 112 • activity related to deployed power by The Green Grid,;
 - Energy Star.

113 This work item defines energy efficiency metrics and measurement methodology for server equipment under
114 standardisation Mandate M/462 of the European Commission. The document does not address home servers and small
115 servers under the Mandate M/545.

116 1 Scope

117 The present document specifies a metric for the assessment of energy efficiency of computer servers using reliable,
118 accurate and reproducible measurement methods, which take into account the recognised state of the art.

119 The metric applies to general purpose 1 and 2 socket computer servers, with their own dedicated power supply,
120 provisioned for use within a data centre of which the data processing requirement exceeds the use of a single server.

121 The metric applies to a computer server product family, including type and count of CPU, memory, storage, power
122 supplies, cooling (e.g. fans) and any other add-on hardware expected to be present when deployed.

123 The metric applies to the following types of rack-mounted or pedestal form-factor servers:

- 124 • blade server with no more than four processor sockets per blade;
- 125 • multi-node with no more than four processor sockets.

126 The present document defines:

- 127 • an energy efficiency metric to support procurement requirements;
- 128 • requirements for equipment to perform the measurements and analysis;
- 129 • requirements for the measurement process;
- 130 • requirements for the management of the KPI calculation;
- 131 • operation or run rules to configure, execute, and monitor the testing;
- 132 • documentation and reporting requirements;
- 133 • a validation process for the metric to data centre level impact.

134 The present document is not applicable to:

- 135 • fully fault tolerant servers;
- 136 • High Performance Computing systems;
- 137 • hyper-converged servers;
- 138 • large servers;
- 139 • network equipment;
- 140 • network servers;
- 141 • server appliances;
- 142 • storage products including blade storage;
- 143 • storage servers.

144 NOTE: Products whose feature set and intended operation are not addressed by active mode testing parameters are excluded from this evaluation
145 method. The above list shows products that are excluded from SERT efficiency evaluations.

146 2 References

147 References are either specific (identified by date of publication and/or edition number or version number) or
 148 non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the
 149 referenced document (including any amendments) applies.

150 Referenced documents which are not found to be publicly available in the expected location might be found at
 151 <http://docbox.etsi.org/Reference>.

152 NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee
 153 their long term validity.

154 Editor NOTE: How much can we reference from SPEC?

155 2.1 Normative references

156 The following referenced documents are necessary for the application of the present document.

- 157 [1] EN 62623:2013, Desktop and notebook computers. Measurement of energy consumption
- 158 [2] ETSI EN 300 132-3-1, Environmental Engineering (EE); Power supply interface at the input to
 159 telecommunications and datacom (ICT) equipment; Part 3: Operated by rectified current source,
 160 alternating current source or direct current source up to 400 V; Sub-part 1: Direct current source
 161 up to 400 V
- 162 [3] SPEC Server Efficiency Rating Tool (SERT) Design Document V.1.1.1.
 163 http://www.spec.org/sert/docs/SERT-Design_Document.pdf
- 164 [4] SPEC Server Efficiency Rating Tool (SERT) User Guide V.1.1.1.
 165 http://www.spec.org/sert/docs/SERT-User_Guide.pdf
- 166 [5] SERT, Servers 2.1 Clarification Memo, located on the Enterprise Servers Specification Version
 167 2.1 website
 168 (https://www.energystar.gov/products/spec/enterprise_servers_specification_version_2_1_pdf)

169 current SERT User Guide [xxx]

170 2.2 Informative references

171 The following referenced documents are not necessary for the application of the present document but they assist the
 172 user with regard to a particular subject area.

- 173 [i.1] EN 60297 series, Mechanical structures for electrical and electronic equipment. Dimensions of
 174 mechanical structures of the 482,6 mm (19 in) series
- 175 [i.2] EN 300 019 series, Environmental Engineering (EE); Environmental conditions and environmental
 176 tests for telecommunications equipment
- 177 [i.3] EPRI/ECOVA, Generalized Test Protocol for Calculating the Energy Efficiency of Internal A.c.-
 178 D.c. and D.c-D.c Power Supplies Revision 6.7, March 2014 .
- 179 [i.4] IEEE 1100, IEEE Recommended Practice for Powering and Grounding Electronic Equipment

180 3 Definitions, symbols and abbreviations

181 *Definitions and abbreviations extracted from ETSI deliverables can be useful when drafting documents and can be*
 182 *consulted via the Terms and Definitions Interactive Database (TEDDI) (<http://webapp.etsi.org/Teddi/>).*

183 3.1 Definitions

184 For the purposes of the present document, the following terms and definitions apply:

185 **active state:** operational state of a computer server in which the computer server is carrying out work in response to
 186 prior or concurrent external requests (e.g., instruction over the network) and includes both (1) active processing and (2)
 187 data seeking/retrieval from memory, cache, or internal/external storage while awaiting further input over the network

188 **auxiliary processing accelerator:** computing expansion add-in card installed in general-purpose add-in expansion slots
 189 (e.g. GPGPUs installed in a PCI slot)

190 **Editors NOTE:** needs update - not just a card

191 **blade chassis:** enclosure that contains shared resources for the operation of blade servers, blade storage, and other blade
 192 form-factor devices. Shared resources provided by a chassis may include power supplies, data storage, and hardware for
 193 dc power distribution, thermal management, system management, and network services.

194 **blade server:** computer server, designed for use in a blade chassis, that is a high-density device and functions as an
 195 independent computer server and includes at least one processor and system memory, but is dependent upon shared
 196 blade chassis resources (e.g. power supplies, cooling) for operation.

197 NOTE to entry: A processor or memory module that is intended to scale up a standalone server is not considered a blade server.

198 **multi-bay blade server:** blade server requiring more than one bay for installation in a blade chassis

199 **single-wide blade Server:** blade server requiring the width of a standard blade server bay

200 **double-wide blade server:** blade server requiring twice the width of a standard blade server bay

201 **half-height blade server:** blade server requiring one half the height of a standard blade server bay

202 **quarter-height blade server:** blade server requiring one quarter the height of a standard server bay

203 **multi-node blade server:** blade server which has multiple nodes

204 NOTE to entry: The blade server itself is hot swappable, but the individual nodes are not.

205 **blade storage:** storage device that is designed for use in a blade chassis and that is dependent upon shared blade chassis
 206 resources (e.g., power supplies, cooling) for operation

207 **blade system:** system comprised of a blade chassis and one or more removable blade servers and/or other units (e.g.
 208 blade storage, blade network equipment) which provide a scalable means for combining multiple blade server or storage
 209 units in a single enclosure, and are designed to allow service technicians to easily add or replace (hot-swap) blades in
 210 the field

211 NOTE 1 to entry: HPC systems feature a large number of clustered homogeneous nodes often featuring high speed inter-processing interconnects as
 212 well as large memory capability and bandwidth.

213 NOTE 2 to entry: HPC systems may be purposely built, or assembled from more commonly available computer servers.

214 **buffered DDR channel:** channel or memory port connecting a memory controller to a defined number of memory
 215 devices (e.g. DIMMs) in a computer server

216 NOTE to entry: A typical computer server may contain multiple Memory Controllers, which may in turn support one or more Buffered DDR
 217 Channels. As such, each Buffered DDR Channel serves only a fraction of the total addressable memory space in a computer server.

218 **computer server:** computer that provides services and manages networked resources for client devices (e.g., desktop
 219 computers, notebook computers, thin clients, wireless devices, Personal Digital Assistants, Internet Protocol telephones,
 220 other computer servers, or other network devices).

221 NOTE 1 to entry: A computer server is sold through enterprise channels for use in data centers and office/corporate environments.

222 NOTE 2 to entry: A computer server is primarily accessed via network connections, versus directly-connected user input devices such as a keyboard
 223 or mouse.

- 224 **direct current server:** computer server that is designed solely to operate on a direct current (d.c.) power
225 source
- 226 **dual-node server:** common multi-node server configuration consisting of two server nodes
- 227 **hyper-converged server:** highly integrated enterprise device which contains the same components as a
228 computer server in addition to the features of a network server and storage server
- 229 **fully fault tolerant server:** computer server that is designed with complete hardware redundancy, in which
230 every computing component is replicated between two nodes running identical and concurrent workloads (i.e.
231 if one node fails or needs repair, the second node can run the workload alone to avoid downtime) and that uses
232 two systems to simultaneously and repetitively run a single workload for continuous availability in a mission
233 critical application
- 234 **large server:** resilient/scalable server which ships as a pre-integrated/pre-tested system housed in one or more
235 full frames or racks and that includes a high connectivity input/output subsystem with a minimum of 32
236 dedicated input/output slots.
- 237 **managed server:** computer server that is designed for a high level of availability in a highly managed
238 environment
- 239 **multi-node server:** computer server that is designed with two or more independent server nodes that share a
240 single enclosure and one or more power supplies and in which power is distributed to all nodes through shared
241 power supplies
- 242 NOTE to entry: Server nodes in a multi-node server are not designed to be hot-swappable.
- 243 **network server:** large scale network device which contains the same components as a computer server
244 together with more than 11 ports, has a total line rate throughput of greater than or equal to 12Gb/s and is
245 designed to dynamically reconfigure ports and speed and to support a virtualized network environment,
246 software defined networking
- 247 NOTE to entry: Supporting features are described by the product's datasheet description and is either accompanied with vendor specific
248 utilities and/or commercially available software supporting these functions.
- 249 **pedestal server:** self-contained computer server that is designed with power supply units, cooling,
250 input/output devices, and other resources necessary for stand-alone operation within a frame similar to that of a
251 tower client computer.
- 252 **rack-mounted server:** computer server that is designed for deployment in a standard 19inch data centre rack
253 as defined by EN 60297 [i.1].
- 254 NOTE to entry: For the purposes of this specification, a blade server is considered under a separate category and excluded from the rack-
255 mounted category.
- 256 **resilient server:** computer server designed with extensive reliability, availability, serviceability (RAS) and
257 scalability features integrated in the micro architecture of the system, central processing unit and chipset
- 258 NOTE to entry: The characteristics of a resilient server are described in the Annex B
- 259 **server appliance:** computer server that is bundled with a pre-installed operating system and application
260 software which is used to perform a dedicated function or set of tightly coupled functions.
- 261 NOTE 1 to entry: Server appliances deliver services through one or more networks (e.g., Internet Protocol or Storage Area Network), and
262 are typically managed through a web or command line interface.
- 263 NOTE 2 to entry: Server appliance hardware and software configurations are customized by the vendor to perform a specific task (e.g.,
264 name services, firewall services, authentication services, encryption services, and voice-over-IP services), and are not intended to execute
265 user-supplied software.
- 266 **controller system:** computer or computer server that manages a benchmark evaluation process
- 267 **data averaging interval:** time period over which all samples captured by the high-speed sampling electronics of the
268 power analyzer are averaged to provide the measurement set
- 269 **hard disk drive:** primary computer storage device which reads and writes to one or more rotating magnetic disk
270 platters
- 271 **High Performance Computing (HPC) System:** computing system which is designed and optimized to execute highly
272 parallel applications.

273 **hypervisor:** hardware virtualization technique that enables multiple guest operating systems to run on a single host
274 system at the same time

275 **Editors NOTE:** Recommend changing to “an operating system level software that establishes and manages a virtualized environment which enables
276 multiple operating systems to run on a single physical system at the same time”

277 **idle state:** operational state of a computer server in which the operating system and other software have completed
278 loading, the computer server is capable of completing workload transactions, but no active workload transactions are
279 requested or pending by the system (i.e., the computer server is operational, but not performing any useful work).

280 NOTE 1 to entry: For systems where ACPI standards are applicable, idle state correlates only to ACPI System Level S0.

281 **network client (testing):** computer or computer server that generates workload traffic for transmission to a unit under
282 test connected via a network switch

283 **network equipment:** device whose primary function is to pass data among various network interfaces, providing data
284 connectivity among connected devices (e.g. routers and switches) via the routing of data packets encapsulated
285 according to Internet Protocol, Fibre Channel, InfiniBand or similar protocol

286 **power supply unit:** device that converts a.c. or d.c. input power to one or more d.c. power outputs for the purpose
287 of powering a computer server.

288 **a.c.-d.c power supply unit:** power supply unit that converts line-voltage alternating current (a.c.) input
289 power into one or more direct current (d.c.) power outputs for the purpose of powering a computer server

290 **d.c.-d.c power supply unit:** power supply unit that converts line-voltage direct current (d.c.) input power to
291 one or more d.c. outputs for the purpose of powering a computer server

292 NOTE to entry: For purposes of this specification, a dc-dc converter (also known as a voltage regulator) that is internal to a computer
293 server and is used to convert a low voltage dc (e.g. 12 V dc) into other dc power outputs for use by computer server components is not
294 considered a dc-dc power supply

295 **single output power supply unit:** power supply unit designed to deliver the majority of its rated output
296 power to one primary direct current (d.c.) output for the purpose of powering a computer server

297 **multi-output power supply unit:** power supply unit designed to deliver the majority of its rated output
298 power to more than one primary direct current (d.c.) output for the purpose of powering a computer
299 server

300 **product category:** second-order classification or sub-type within a product type that is based on product features
301 and installed components and used in the present document to determine qualification and test requirements

302 **reported maximum power:** highest maximum power recorded on the twelve SERT worklet scores for the two tested
303 configurations

304 **server processor utilization:** ratio of processor computing activity to full-load processor computing activity at a
305 specified voltage and frequency, measured instantaneously or with a short term average of use over a set of active
306 and/or idle cycles

307 **server product family:** high-level description for a group of servers sharing one chassis and motherboard combination
308 that may contain **more** hardware and software configurations

309 **solid state drive:** storage device that uses memory chips instead of rotating magnetic platters for data storage.

310 **storage product:** fully-functional storage system that supplies data storage services to clients and devices attached
311 directly or through a network

312 NOTE 1 to entry: A storage product may be composed of integrated storage controllers, storage devices, embedded network elements, software, and
313 other devices. While storage products may contain one or more embedded processors, these processors do not execute user-supplied software
314 applications but may execute data-specific applications (e.g., data replication, backup utilities, data compression, install agents).

315 NOTE 2 to entry: Components and subsystems that are an integral part of the storage product architecture (e.g., to provide internal communications
316 between controllers and disks) are considered to be part of the storage product.

317 NOTE 3 to entry: Components that are normally associated with a storage environment at the data centre level (e.g. devices required for operation of
318 an external SAN) are not considered to be part of the storage product.

319 **storage server:** enterprise storage device which contains the same components as a computer server together with ≥ 10
320 storage devices and software (vendor or 3rd party) that supports Storage System Connectivity, Capacity Optimization
321 Management (**COMs**), virtualized storage environment and software defined storage

322 NOTE to entry: Supporting features are described by the product's datasheet description and is either accompanied with vendor specific utilities
323 and/or commercially available software supporting these functions.

324 **Uninterruptible Power Supply (UPS):** combination of convertors, switches, and energy storage devices (such as
325 batteries) constituting a power system for maintaining continuity of load power in case of input power failure

326

327 **deployed power:** xxxxx

328 **efficiency:** xxxxx

329 **normalized performance:** xxxxx

330 **maximum power:** xxxxx

331 **weighted geometric mean:** xxxxx

332 **worklets:** xxxxx

333 EDITORS NOTE: during the development of the document this will be added to

334 3.2 Symbols

335 For the purposes of the present document, the [following] symbols [given in ... and the following] apply:

336 XXXX

337

338 EDITORS NOTE: during the development of the document this will be added to

339

340 3.3 Abbreviations

341 For the purposes of the present document, the [following] abbreviations [given in ... and the following] apply:

342	a.c., A.c, AC	alternating current
343	ACPI	Advanced Configuration and Power Interface
344	APA	Auxiliary Processing Accelerator:
345	d.c., D.c, DC	direct current
346	Geomean	geometric mean of n items

347
$$Geomean(x) = \left(\prod_{i=1}^n x_i \right)^{1/n} = \sqrt[n]{x_1 \times \dots \times x_n}$$

348

349	CPU	Central Processor Unit
350	DDR	Double Data Rate
351	DIMM	Dual In-line Memory Module
352	GPGPU	General-purpose computing on graphics processing units
353	HDD	Hard Disk Drive
354	HPC	Higher Performance Computing
355	LISN	Line Impedance Stabilization Network
356	PCI	Peripheral Component Interconnect
357	PFC	Power Factor Correction
358	PDU	Power Distribution Unit
359	SERT	Server Efficiency Weighting Tool
360	SPEC	Standard Performance Evaluation Corporation
361	SSD	Solid State Drive
362	RAS	Reliability, Availability and Serviceability
363	RASM	Reliability, Availability, Serviceability and Manageability
364	RMS	Root Mean Square
365	UPS	Uninterruptible Power Supply
366	UUT	Unit Under Test

367

368 EDITORS NOTE: during the development of the document this will be added to

369 4 Server product family configurations for testing

370 Editors NOTE: We want a consistent feasible testing method similar to Energy Star, but must be able to ship every different combination/ conditions
371 that people purchase }

372 4.1 Typical configuration

373 4.2 “High-end” performance configuration

374 “High-end” performance configuration of a server product family means the combination of two solid state drives
375 (SSDs), processor with the highest product of core count and frequency and memory capacity (in GB) equal to 1.0 to
376 2.0 times the product of the number of central processing units (CPUs), cores and hardware threads that represents the
377 highest performance product model within the product family.

378 4.3 “Low-end” performance configuration

379 “Low-end” performance configuration of a server product family means the combination of two 10,000 rpm hard disk
380 drives (HDDs), processor with the lowest product of core count and frequency and memory capacity (in GB) equal to
381 0.5 to 0.75 times the product of the number of CPUs, cores and hardware threads that represents the lowest performance
382 product model within the product family.

383 5 Metrics

384 5.1 Active state metric

385 The SERT™ tool reports performance and power data for:

- 386 • 7 CPU worklets and of the 7 CPU worklets, 6 are used in the creation of the single value efficiency metric i.e.
387 Compress, LU, CryptoAES, SOR, Sort, and SHA 256;
388 NOTE: XML_validate is represented in the capacity metric and is therefore excluded from the CPU workload calculation.

- 389 • 2 memory worklets: Flood3 and Capacity3;
- 390 • 2 storage worklets: Sequential and Random;
- 391 • 1 hybrid worklet: Hybrid SSJ.
392 NOTE: The Hybrid SSJ worklet is also considered as a CPU workload for the purposes of creating a single combined efficiency metric.

393 For each worklet, data is reported for a set of proportional performance intervals and associated, measured power values
394 along with other test measurements. An interval efficiency value can be calculated by dividing each normalized
395 performance value by the interval power value. The set of individually measured Performance and Power values with
396 their associated efficiency value will be referred to as interval data.

397 In order to create a single energy efficiency metric for a server it is necessary to combine the worklet interval
398 performance and power values for all the different worklets.

399 The method developed by the Green Grid SERT Analysis Working Group (WG) and the SPECpower Committee to
400 create a single efficiency metric follows the following general procedure:

- 401 1) combine individual worklet normalized performance and power interval data to obtain an overall Efficiency,
402 Performance and Power value for the worklet;
- 403 2) combine worklet Efficiency, Performance and Power values by workload type (CPU, Memory, Storage) to obtain a
404 workload type value;
- 405 3) combine worklet types using a weighted geomean to obtain a single, total server Efficiency, Performance or Power
406 value.

407 The geomean function offers the best option to combine the interval data to a worklet efficiency score and the workload
408 (CPU, memory, storage) worklet efficiency scores to create a workload efficiency score. Using the geomean prevents
409 any single performance, power, worklet or workload efficiency score from unduly influencing the single metric.

$$Eff_{server} = \frac{Perf_{server}}{Pwr_{server}} \quad \text{Equation 1}$$

410

411 Alternatively {choice depending on assessment}

$$Eff_{server} = \exp \left[W_{CPU} \times \ln(Eff_{CPU}) + W_{Memory} \times \ln(Eff_{Memory}) + W_{Storage} \times \ln(Eff_{Storage}) \right] \quad \text{Equation 2}$$

412

$$Perf_{server} = \exp \left[W_{CPU} \times \ln(Perf_{CPU}) + W_{Memory} \times \ln(Perf_{Memory}) + W_{Storage} \times \ln(Perf_{Storage}) \right] \quad \text{Equation 3}$$

413

$$Pwr_{server} = \exp \left[W_{CPU} \times \ln(Pwr_{CPU}) + W_{Memory} \times \ln(Pwr_{Memory}) + W_{Storage} \times \ln(Pwr_{Storage}) \right] \quad \text{Equation 4}$$

414

415 where

416 W_{CPU} is the weighting assigned to the CPU worklets

417 = ???

418 W_{Memory} is the weighting assigned to the Memory worklets

419 = ???

420 $W_{Storage}$ is the weighting assigned to the Storage worklets

421 = ???

$$Eff_{worklet} = \left(\prod_{i=1}^n Eff_i \right)^{1/n} = \frac{Perf_{worklet}}{Pwr_{worklet}} \quad \text{Equation 5}$$

$$Perf_{worklet} = \left(\prod_{i=1}^n Perf_i \right)^{1/n} \quad \text{Equation 6}$$

$$Pwr_{worklet} = \left(\prod_{i=1}^n Pwr_i \right)^{1/n} \quad \text{Equation 7}$$

422 where

423 n = the number of worklet interval values for the worklet being evaluated424 Eff_i = Efficiency value of the worklet interval i 425 $Perf_i$ = Performance value of worklet interval i 426 Pwr_i = Power value of the worklet interval i 427 Now that we have worklet Efficiency, Performance and Power values we combine these using a geomean in order to
428 obtain a worklet type value.

$$Eff_{CPU} = \left(\prod_{i=1}^7 Eff_i \right)^{1/7} \quad \text{Equation 8}$$

429 Where

430 i = CPU efficiency worklet index (1 for worklet_{Compress}, 2 for worklet_{LU}, 3 for worklet_{SOR}, 4 for worklet_{Crypto}, 5 for
431 worklet_{Sort}, 6 for worklet_{SHA256} and 7 for worklet_{Hybrid SSJ})

$$Eff_{Memory} = \left(\prod_{i=1}^2 Eff_i \right)^{1/2} \quad \text{Equation 9}$$

432 Where

433 i = memory efficiency worklet index (1 for worklet_{Flood3} and 2 for worklet_{Capacity3})

$$Eff_{Storage} = \left(\prod_{i=1}^2 Eff_i \right)^{1/2} \quad \text{Equation 10}$$

434 where

435 i = storage efficiency worklet index (1 for worklet_{Sequential} and 2 for worklet_{Random})

436

$$Eff_{server} = \exp \left[W_{CPU} \times \ln(Eff_{CPU}) + W_{Memory} \times \ln(Eff_{Memory}) + W_{Storage} \times \ln(Eff_{Storage}) \right] \quad \text{Equation 11 (2)}$$

437

438 5.2 Idle state metric

439 Idle power is the alternating current (a.c.) power of the device with no activity after running the SERT worklets. Idle
 440 power is reported by SERT. Idle power of the system typically scales based on the features and performance added.
 441 When establishing minimum criteria for server idle power, additional power allocation shall be provided consistent with
 442 the performance and added features in the device.

443

6 Test setup

444

6.1 Input power

445 **Editors NOTE:** A single setup is preferred for internal power/active/idle

446 Input power shall be as specified in Table 1 and Table 2. The frequency for input power shall be as specified in Table 3.

447 **Table 1 - Input power requirements for products with nameplate rated ≤ 1500 W**

Product type	Supply voltage	Voltage tolerance (%)	Total harmonic distortion (% max.)
Servers with a.c.-d.c. single-output PSUs	230 VAC or 115 VAC (see NOTE)	1,0	2,0
Servers with a.c.-d.c. multi-output PSUs	230 VAC or 115 VAC (see NOTE)		
Optional Testing Conditions for ac-dc (Japanese market)	100 VAC		
Three-phase servers (North American market)	208 VAC		
Three-phase servers (European market)	400 VAC		
DC powered	48 VDC		
High Voltage DC (see ETSI EN 300 132-3-1 [1])	260 - 400 VDC		
NOTE 230 V ac refers to the European market and 115 V ac refers to the North American market			

448

449 **Table 2 - Input power requirements for products with nameplate rated > 1500 W**

Product Type	Supply Voltage	Voltage tolerance (%)	Total harmonic distortion (% max.)
Servers with a.c.-d.c. single-output PSUs	230 VAC or 115 VAC (see NOTE)	4,0	5,0
Servers with a.c.-d.c. multi-output PSUs	230 VAC or 115 VAC (see NOTE)		
Optional Testing Conditions for ac-dc (Japanese market)	100 VAC		
Three-phase servers (North American market)	208 VAC		
Three-phase servers (European market)	400 VAC		
DC powered	48 VDC		
High Voltage DC (see ETSI EN 300 132-3-1 [1])	260 - 400 VDC		
NOTE 230 V ac refers to the European market and 115 V ac refers to the North American market			

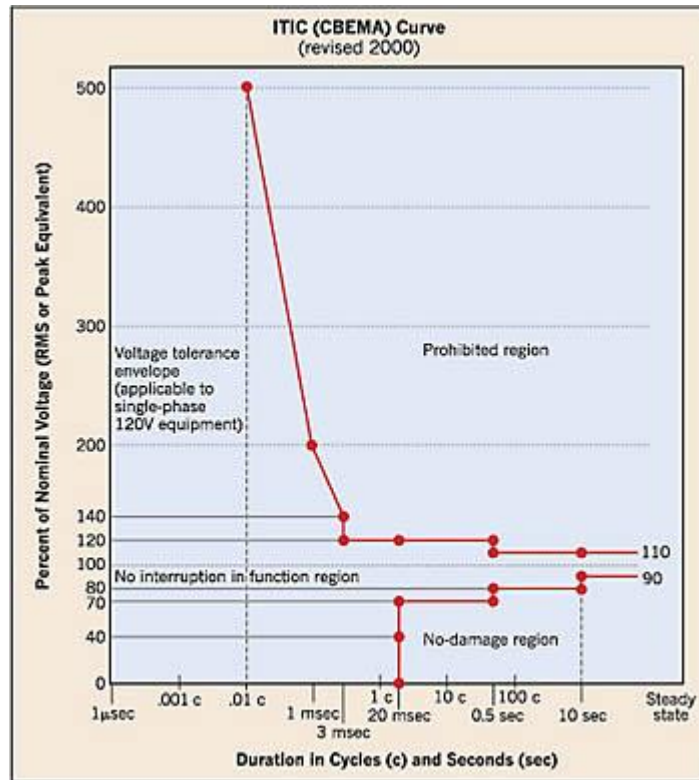
450

451 **Table 3 - Input frequency requirements for all products**

Supply voltage	Frequency	Frequency tolerance
100 VAC	50 Hz or 60 Hz	1,0
115 VAC	60 Hz	
230 VAC	50 Hz or 60 Hz	
Three-phase (North American Market)	60 Hz	
Three-phase (European Market)	50 Hz	

452

453 Reference: <https://plugloadsolutions.com>



454

455

Figure 1- ITIC (CBEMA) curve (as published in IEEE Standard 1100: 1999 [i.4])

456 6.2 Environmental conditions

457 6.2.1 Ambient Temperature:

458 Ambient temperature shall be within 25 ± 5 °C.

459 Editor NOTE: reference required to measurement conditions - EN 300 019 [i.2]? to be discussed following the outcome of the Consultation Forum

460 6.2.2 Relative Humidity:

461 Relative humidity shall be within 15% and 80%.

462 Editor NOTE: reference required to measurement conditions - EN 300 019 [i.2]? to be discussed following the outcome of the Consultation Forum

463 6.3 Power analyser

464 The power analyzer shall report true Root Mean Square (RMS) power and at least two of the following parameters:
465 voltage, current, and power factor.

466 The power analyzer shall:

- 467 a) be chosen from the list of power measuring devices specified in the most current SERT Design Document [3] ;
- 468 b) have been calibrated within a year of the test date, by a standard traceable to the National Institute of Science and
469 Technology (USA) or a counterpart national metrology institute in other countries.
- 470 c) feature an available current crest factor of 3 or more at its rated range value;
- 471 d) for power analyzers that do not specify the current crest factor, the power analyzer shall be capable of measuring an
472 amperage spike of at least 3 times the maximum amperage measured during any 1 second sample;
- 473 e) have a minimum frequency response of 3,0 kHz;

- 474 f) have a minimum resolution of:
- 475 ▪ 0,01 W for measurement values less than 10 W;
 - 476 ▪ 0,1 W for measurement values from 10 W to 100 W; and
 - 477 ▪ 1,0 W for measurement values greater than 100 W.
- 478 g) have a power measurement accuracy of no greater than 1,0 %;
- 479 h) have a logging performance of:
- 480 ▪ minimum reading rate: one set of measurements (power measurement in W) per second;
 - 481 ▪ data averaging interval equal to the reading rate

482 6.4 Temperature sensor

483 The temperature sensor shall:

- 484 a) be chosen from the list of temperature measuring devices specified in the most current SERT Design Document
- 485 [3];
- 486 b) have a temperature measurement accuracy of no greater than ± 0.5 °C when measured no more than 50mm in front
- 487 of (upwind of) the main airflow inlet of the Unit Under Test (UUT).
- 488 c) have a logging performance of minimum reading rate: four samples per minute.

489 6.5 Active state test tool

490 The active state test tool shall be that specified in the most current version of SERT, provided by the Standards
491 Performance Evaluation Corporation (SPEC) [5].

492 6.6 Controller system

493 The Controller System may be a Server, a desktop computer, or a laptop and shall be used to record power from the
494 equipment specified in 6.3 and temperature data from the equipment specified in 6.4.

495 The Controller System and the UUT shall be connected to each other via an Ethernet network switch.

496 6.7 General SERT requirements

497 Any additional requirements specified in any SPEC, or the most current SERT supporting documents shall be followed,
498 unless otherwise specified in this test method.

499 Supporting documents from SPEC include:

- 500 a) SPEC Power and Performance Methodology;
- 501 b) SPEC Power Measurement Setup Guide;
- 502 c) SPEC PTDaemon Design Document;
- 503 d) SERT Design Document [2];
- 504 e) SERT Run and Reporting Rules;
- 505 f) SERT User Guide;
- 506 g) SERT JVM Options;
- 507 h) SERT Result File Fields.

508

7 Unit Under Test

509

7.1 Configuration

510

The configuration of the UUT shall be as specified in Table 4.

511

Table 4 - Configuration of UUT

A)	As-shipped Condition	Products shall be tested in their "as-shipped" configuration, which includes both hardware configuration and system settings, unless otherwise specified in this test method. Where relevant, all software options shall be set to their default condition.
B)	Measurement location	All power measurements shall be taken at a point between the a.c. power source and the UUT Uninterruptible Power Supply (UPS) units shall not be connected between the power meter and the UUT. The power meter shall remain in place until all Idle and Active State power data are fully recorded. When testing a blade system, power shall be measured at the input of the blade chassis (i.e. at the power supplies that convert data centre distribution power to chassis distribution power).
C)	Air flow	Purposefully directing air in the vicinity of the measured equipment in a way that would be inconsistent with normal data centre practices is prohibited
D)	Power supplies	All PSUs shall be connected and operational. For UUT with multiple PSUs: <ul style="list-style-type: none"> - all power supplies shall be connected to the a.c. power source and operational during the test; - if necessary, a Power Distribution Unit (PDU) may be used to connect multiple power supplies to a single source (if a PDU is used, any overhead electrical use from the PDU shall be included in the power measurement of the UUT). For blade servers with half-populated chassis configurations, the power supplies for the unpopulated power domains can be disconnected (Table 5, D) for more information)
E)	Power Management and Operating System	The as-shipped operating system or a representative operating system shall be installed. Products that are shipped without operating systems shall be tested with any compatible operating system installed. For all tests, the power management techniques and/or power saving features shall be left as-shipped. Any power management features which require the presence of an operating system (i.e. those that are not explicitly controlled by the Basic Input Output System (BIOS) or management controller) shall be tested using only those power management features enabled by the operating system by default.
F)	Storage	Products shall be tested for qualification with at least one HDD or one SSD installed. Products that do not include pre-installed hard drives (HDD or SSD) shall be tested using a storage configuration used in an identical model for sale that does include preinstalled hard drives. Products that do not support installation of hard drives (HDD or SSD) and, instead, rely exclusively on external storage solutions (e.g. storage area network) shall be tested using external storage solutions.
G)	Blade System and Dual/Multi-Node Servers	A blade system or dual/multi-node server shall have identical configurations for each node or blade server including all hardware components and software/power management settings. These systems shall also be measured in a way that ensures all power from all tested nodes/blade servers is captured by the power meter during the entire test.
H)	Blade Chassis	The blade chassis, at a minimum, shall have power, cooling, and networking capabilities for all the blade servers. The blade chassis shall be populated as specified in Table 5, D). All power measurements for blade systems shall be made at the input of the blade chassis.
I)	BIOS and UUT System Settings	All BIOS settings shall remain as-shipped unless otherwise specified in the test method

J)	Input/Output (I/O) and Network Connection	The UUT shall have at least one port connected to an Ethernet network switch. The switch shall be capable of supporting the UUT's highest and lowest rated network speeds. The network connection shall be live during all tests, and, although the link shall be ready and able to transmit packets, no specific traffic is required over the connection during testing. For the purpose of testing ensure the UUT offers at least one Ethernet port (using a single add-in card only if no onboard Ethernet support is offered).
K)	Energy Efficient Ethernet	Products shipped with support for Energy Efficient Ethernet (compliant with IEEE 802.3az) shall be connected only to Energy Efficient Ethernet compliant network equipment during testing. Appropriate measures shall be taken to enable EEE features on both ends of the network link during all tests.

512

513

7.2 Test procedure

514 The UUT test configuration shall be in accordance with Table 5.

515

Table 5 - Test configuration of UUT

A)	The UUT shall be tested with the processor sockets populated.
B)	The UUT shall be installed in a test rack or location and shall not be physically moved until testing is complete.
C)	For a multi-node system, the UUT shall be tested for per node power consumption in the fully-populated blade chassis configuration. All multi-node servers installed in the blade chassis shall be identical, sharing the same configuration.
D)	<p>For a blade system, the UUT shall be tested for blade server power consumption in the half-populated blade chassis configuration with an additional option of testing the UUT in the fully populated blade chassis configuration.</p> <p>For blade systems, populate the blade chassis as follows:</p> <ol style="list-style-type: none"> Individual blade server configuration All blade servers installed in the blade chassis shall be identical, sharing the same configuration (homogeneous). Half -chassis population (Required) The number of blade servers required to populate half the number of single-wide blade server slots available in the blade chassis shall be calculated. For blade chassis having multiple power domains, the number of power domains shall be chosen that is closest to filling half of the blade chassis. In a case where there are two choices that are equally close to filling half of the blade chassis, test with the domain or combination of domains which utilize a higher number of blade servers. Example 1: A blade chassis supports up to 7 single-wide blade servers on two power domains. One power domain supports 3 blade servers and the other supports 4 blade servers. In this example, the power domain which supports 4 blade servers would be fully populated during testing, while the other power domain would remain unpopulated. Example 2: A blade chassis supports up to 16 single-wide blade servers on four power domains. Each of the four power domains supports 4 blade servers. In this example, two of the power domains would be fully populated during testing, while the other two power domains would remain unpopulated. All user manual or manufacturer recommendations shall be followed for partially populating the blade chassis, which may include disconnecting some of the power supplies and cooling fans for the unpopulated power domains. If user manual recommendations are not available or are incomplete, then use the following guidance: Completely populate the power domains. If possible, disconnect the power supplies and cooling fans for unpopulated power domains. Fill all empty bays with blanking panels or an equivalent airflow restriction for the duration of testing. Full-chassis population (Optional) Populate all available blade chassis bays. All power supplies and cooling fans shall be connected. Proceed with all required tests in the test procedure as specified in Section 6?
E)	<p>The UUT shall be connected to a live Ethernet (IEEE 802.3) network switch.</p> <p>The live connection shall be maintained for the duration of testing, except for brief lapses necessary for transitioning between link speeds.</p>
F)	<p>The Controller System required to provide SERT workload harness control, data acquisition, or other UUT testing support shall be connected to the same network switch as the UUT and satisfy all other UUT network requirements.</p> <p>Both the UUT and Controller System shall be configured to communicate via the network.</p>

G)	The power meter shall be connected to an ac voltage source set to the appropriate voltage and frequency for the test, as specified in Section 4 .
H)	The UUT shall be connected to the measurement power outlet on the power meter following the guidelines in Table 4, B).
I)	The data output interface of the power meter and the temperature sensor shall be connected to the appropriate inputs of the Controller System.
J)	It shall be verified that the UUT is configured in its as-shipped configuration.
K)	It shall be verified that the Controller System and UUT are connected on the same internal network via an Ethernet network switch.
L)	Using a normal ping command, It shall be verified that the Controller System and UUT can communicate with each other.
M)	The most current SERT [4] shall be installed on the UUT and the Controller System as specified in the most current SERT User Guide [4]

516

517 8 Measurement

518 8.1 Measurement for active state

519 The measurement shall be in accordance with Table 6.

520 **Table 6 - Measurement of active state**

A)	The UUT shall be re-booted.
B)	Between 5 and 15 minutes after the completion of initial boot or log in, the most current SERT User Guide [4] shall be followed to engage SERT
C)	All steps outlined in the most current SERT User Guide [4] shall be followed to successfully run SERT There shall be no manual intervention or optimization of the Controller System, UUT, or its internal and external environment during the execution of SERT.
D)	Once SERT is completed, the following output files shall be included with all testing results: <ol style="list-style-type: none"> 1. Results.xml 2. Results.html 3. Results.txt 4. All results-chart png files (e.g. results-chart0.png, results-chart1.png, etc.) 5. Results-details.html 6. Results-details.txt 7. All results-details-chart png files (e.g. results-details-chart0.png, results-details-chart1.png, etc.)

521

522 8.2 Measurement for idle state

523 The measurement shall be in accordance with Table 7.

524 **Table 7 - Measurement of idle state**

A)	The UUT shall be powered-on, either by switching it on or connecting it to mains power.
B)	The Controller System shall be powered-on.
C)	Begin recording elapsed time.
D)	Between 5 and 15 minutes after the completion of initial boot or log in, set the power meter to begin accumulating idle power values at an interval of greater than or equal to 1 reading per second.
E)	Accumulate idle power values for 30 minutes. The UUT shall maintain in Idle State throughout this period and shall not enter lower power states with limited functionality (e.g., sleep or hibernate).
F)	Record the average idle power (arithmetic mean) during the 30 minute test period.
G)	Between 5 and 15 minutes after the completion of initial boot or log in, set the power meter to begin accumulating idle power

	values at an interval of greater than or equal to 1 reading per second.
H)	When testing a multi-node or blade system, proceed as follows to derive single node or single blade server power: <ol style="list-style-type: none"> 1. divide the measured total idle power in Section 6.1.F) by the number of nodes/Blade Servers installed for the test; 2. record the measured total and per-node/per-blade server power values as calculated in 6.1.G)1) for each measurement.

525

526

Editors NOTE: Market surveillance will check if the determined value shall not exceed the declared value by more than 10 %.

527 8.3 Measurement for power supply

528 8.3.1 Measurement for internal power supply

529 The measurement shall be in accordance with Table 7.

530 **Table 8 - Measurement of internal power supply**

A)	For all types of internal power supplies, the efficiency and the power factor shall be measured at 10%, 20 %, 50 % and 100 % of the rated [nameplate] output power
B)	For single-output internal power supplies, the efficiency shall also be measured at 10 % of the rated [nameplate] output power
C)	For single-output internal power supplies with a rated output power greater than 500 W, the power factor shall also be measured at 10 % of the rated [nameplate] output power.
D)	Test setup, test conditions, and measurement instrument specifications shall comply with clause 6.3.
E)	This test procedure assumes that the internal power supply meets following criteria: <p>Detailed input and output ratings are available on the name plate or in manufacturer's literature, specifying the maximum loads that can safely be placed on each individual dc output voltage bus and, where necessary, groupings of those voltage busses.</p> <p>The power supply has connectors that allow the d.c. output voltage busses to be connected and disconnected from the powered product non-destructively.</p> <p>The power supply can be easily detached from the housing of the product it powers, without causing harm to other circuits and components of the product.</p>
F)	The power supply can be easily detached from the housing of the product it powers, without causing harm to other circuits and components of the product.
G)	In the event the above criteria are not met, a test board (see Measurement for test board power supply) shall be provided to enable testing.
NOTE 1: Such data could already be available from the manufacturer of the power supply; in such cases, the manufacturer could decide to use them. However, where 3 rd party test results are used, it is the responsibility of the manufacturer to assess the trustworthiness of the sources.	
NOTE 2: The EPRI/ECOVA Generalized Test Protocol [i.3] is an acceptable basis for providing the required data.	

531

532 8.3.2 Measurement for test board power supply

533 8.3.2.1 General

534 Tests specified in this section shall be made on the power supply of the computer under test, after it has been
 535 disconnected from the powered parts and extracted from the housing. Alternatively, another unit, representative of the
 536 built-in power supply may be used.

537 8.3.2.2 Test loads

538 Active loads such as electronic loads or passive loads such as rheostats may be used as dc test loads. They shall be able
 539 to maintain the required current loading set point for each output voltage within an accuracy of $\pm 0.5\%$.

540 8.3.2.3 Test leads and wiring

541 Appropriate wires shall be used to avoid excessive overheating and reduce voltage drop across the wires. If
542 measurements are not taken directly at the connector pins, voltage drop against the additional wires shall be taken into
543 account.

544 NOTE: If applicable, voltage drop across the input wires will be subtracted from the measured input voltage, and the voltage drop across the output
545 wires will be added the measured input voltage for the measurement of power efficiency.

546 8.3.2.4 Warm up time

547 Whereas internal temperature of the components could impact its efficiency, the power supply under test shall be loaded
548 up to the test load a period of at least 15 minutes or until the reading over two consecutive five-minute intervals does
549 not change by more than $\pm 0.2\%$.

550 8.3.2.5 Power measurements

551 The true RMS wattmeter used to carry out a.c. input power measurements shall meet the requirements of clauses 5.7
552 and 5.8 of EN 62623:2013 [1]. Input power shall be determined using an averaging technique over a minimum of 32
553 input cycles utilizing the measurement instrument averaging function.

554 For appliances connected to more than one phase, the power measurement instrument shall be equipped to measure the
555 total power of all phases connected.

556 d.c. output power measurements shall be made with a suitably calibrated voltmeter and ammeter.

557 8.3.2.6 Power Factor (PF) measurement

558 In order to avoid interaction between the a.c. power source and a possible Power Factor Correction (PFC) circuit, a Line
559 Impedance Stabilization Network (LISN) shall be inserted in series between the power source and the a.c. input of the
560 power supply under test.

561 **Editors NOTE: Market surveillance will check if the determined value of the PSU efficiency shall not be lower than the declared value by more than**
562 **2 % and that the power factor shall not be lower than the declared value by more than 10%.**
563

564 9 Measurement report

565 **Editors NOTE: What will be listed?**

566

567

568 Annex A: Example of a report (informative)

569 Annex B: Additional definitions (normative)

570 B.1 Equipment requirements

571 In the present document, the items listed in Table B.1 shall meet the requirements listed.

572 **Table B.1 - Item requirements**

Item	For purposes of this specification, the item must meet all of the listed criteria:
Computer server	is marketed and sold as a Computer Server
	Is designed for and listed as supporting one or more computer server operating systems (OS) and/or hypervisors
	is targeted to run user-installed applications typically, but not exclusively, enterprise in nature
	provides support for error-correcting code (ECC) and/or buffered memory (including both buffered dual in-line memory modules DIMMs) and buffered on board (BOB) configurations)
	is packaged and sold with one or more ac-dc or dc-dc power supplies
Managed server	is designed such that all processors have access to shared system memory and are visible to a single OS or hypervisor
	is designed to be configured with redundant power supplies
HPC system	contains an installed dedicated management controller (e.g., service processor).
	Marketed and sold as a computer server optimized for higher performance computing applications;
	Designed (or assembled) and optimized to execute highly parallel applications;
	Consist of a number of typically homogeneous computing nodes, clustered primarily to increase computational capability;
Controller system	Includes high speed inter-processing interconnections between nodes.
	A. start and stop each segment (phase) of the performance benchmark
	control the workload demands of the performance benchmark;
	start and stop data collection from the power analyzer so that power and performance data from each phase can be correlated
	store log files containing benchmark power and performance information
	convert raw data into a suitable format for benchmark reporting, submission and validation
RAS features	collect and store environmental data, if automated for the benchmark.
Server product family configuration	be from the same model line or machine type;
	either share the same form factor (i.e., rack-mounted, blade, pedestal) or share the same mechanical and electrical designs with only superficial mechanical differences to enable a design to support multiple form factors;
	either share processors from a single defined processor series or share processors that plug into a common socket type;
	share the power supply unit(s).

573

574 B.2 Components of computer servers

575 Table B.2 provides details of component of a computer server.

576 **Table B.2 - Item requirements**

I/O Device	A device which provides data input and output capability between a computer server and other devices. An I/O device may be integral to the computer server motherboard or may be connected to the motherboard via expansion slots (e.g., PCI, PCIe). Examples of I/O devices include discrete Ethernet devices, InfiniBand devices, RAID/SAS controllers, and Fibre Channel devices.
I/O Port	Physical circuitry within an I/O device where an independent I/O session can be established. A port is not the same as a connector receptacle; it is possible that a single connector receptacle can service multiple ports of the same interface
Motherboard	The main circuit board of the server. For purposes of this specification, the motherboard includes connectors for attaching additional boards and typically includes the following components: processor, memory, BIOS, and expansion slots

Processor	The logic circuitry that responds to and processes the basic instructions that drive a server. For purposes of this specification, the processor is the central processing unit (CPU) of the computer server
Memory	For purposes of this specification, memory is a part of a server external to the processor in which information is stored for immediate use by the processor
Power Supply Unit (PSU)	A computer server PSU must be self-contained and physically separable from the motherboard and must connect to the system via a removable or hard-wired electrical connection.
Single-output PSU	Single-output PSUs may offer one or more standby outputs that remain active whenever connected to an input power source. For purposes of this specification, the total rated power output from any additional PSU outputs that are not primary and standby outputs shall be no greater than 20 watts. PSUs that offer multiple outputs at the same voltage as the primary output are considered single-output PSUs unless those outputs (1) are generated from separate converters or have separate output rectification stages, or (2) have independent current limits.
Multi-output PSU	Multi-output PSUs may offer one or more standby outputs that remain active whenever connected to an input power source. For purposes of this specification, the total rated power output from any additional PSU outputs that are not primary and standby outputs is greater than or equal to 20 watts.

577

578 B.3 Identifying resilient server class

579 Reliability Features: Features that support a server's ability to perform its intended function without interruption due to
580 component failures (e.g., component selection, temperature and/or voltage de-rating, error detection and correction).

581 Availability Features: Features that support a server's ability to maximize operation at normal capacity for a given
582 duration of downtime (e.g., redundancy [both at micro- and macro-level]).

583 Serviceability Features: Features that support a server's ability to be serviced without interrupting operation of the
584 server (e.g., hot plugging).

585 In order to classify as a resilient server all of the features listed in Table B.3 shall be provided.

586

Table B.3 - RAS requirements

A)	Processor RAS and Scalability
A.1	<p>Processor RAS:</p> <p>The processor must have capabilities to detect, correct, and contain data errors, as described by all of the following:</p> <ul style="list-style-type: none"> Error detection on L1 caches, directories and address translation buffers using parity protection; Single bit error correction (or better) using ECC on caches that can contain modified data. Corrected data is delivered to the recipient (i.e., error correction is not used just for background scrubbing); Error recovery and containment by means of (1) processor checkpoint retry and recovery, (2) data poison indication (tagging) and propagation, or (3) both. The mechanisms notify the OS or hypervisor to contain the error within a process or partition, thereby reducing the need for system reboots; and <p>(1) Capable of autonomous error mitigation actions within processor hardware, such as disabling of the failing portions of a cache, (2) support for predictive failure analysis by notifying the OS, hypervisor, or service processor of the location and/or root cause of errors, or (3) both.</p>
A.2	The processor technology used in resilient and scalable servers is designed to provide additional capability and functionality without additional chipsets, enabling them to be designed into systems with 4 or more processor sockets. The processors have additional infrastructure to support extra, built-in processor busses to support the demand of larger systems.
A.3	The server provides high bandwidth I/O interfaces for connecting to external I/O expansion devices or remote I/O without reducing the number of processor sockets that can be connected together. These may be proprietary interfaces or standard interfaces such as PCIe. The high performance I/O controller to support these slots may be embedded within the main processor socket or on the system board.
B	Memory RAS and Scalability
B.1	Provides memory fault detection and recovery through Extended ECC
B.2	In x4 DIMMs, recovery from failure of two adjacent chips in the same rank;
B.3	Memory migration: Failing memory can be proactively de-allocated and data migrated to available memory. This can be implemented at the granularity of DIMMs or logical memory blocks. Alternatively, memory can also be mirrored;
B.4	Uses memory buffers for connection of higher speed processor -memory links to DIMMs attached to lower speed DDR channels. Memory buffer can be a separate, standalone buffer chip which is integrated on the system board, or integrated on

	custom-built memory cards. The use of the buffer chip is required for extended DIMM support; they allow larger memory capacity due to support for larger capacity DIMMs, more DIMM slots per memory channel, and higher memory bandwidth per memory channel than direct-attached DIMMs. The memory modules may also be custombuilt, with the memory buffers and DRAM chips integrated on the same card;
B.5	Uses resilient links between processors and memory buffers with mechanisms to recover from transient errors on the link; and
B.6	Lane sparing in the processor-memory links. One or more spare lanes are available for lane failover in the event of permanent error.
C.	Power Supply RAS
	All PSUs installed or shipped with the server shall be redundant and concurrently maintainable. The redundant and repairable components may also be housed within a single physical power supply, but must be repairable without requiring the system to be powered down. Support must be present to operate the system in degraded mode when power delivery capability is degraded due to failures in the power supplies or input power loss
D.	Thermal and Cooling RAS
	All active cooling components, such as fans or water-based cooling, shall be redundant and concurrently maintainable. The processor complex must have mechanisms to allow it to be throttled under thermal emergencies. Support must be present to operate the system in degraded mode when thermal emergencies are detected in system components..

587

588

In order to classify as a resilient server at least six of the features listed in Table B.4 shall be provided.

589

Table B.4 - System resiliency requirements

E.	System Resiliency
E.1	Support of redundant storage controllers or redundant path to external storage;
E.2	Redundant service processors;
E.3	Redundant dc-dc regulator stages after the power supply outputs
E.4	The server hardware supports runtime processor de-allocation;
E.5	I/O adapters or hard drives are hot-swappable;
E.6	Provides end to end bus error retry on processor to memory or processor to processor interconnects;
E.7	Supports on-line expansion/retraction of hardware resources without the need for operating system reboot ("on-demand" features);
E.8	Processor Socket migration: With hypervisor and/or OS assistance, tasks executing on a processor socket can be migrated to another processor socket without the need for the system to be restarted;
E.9	Memory patrol or background scrubbing is enabled for proactive detection and correction of errors to reduce the likelihood of uncorrectable errors; and
E.10	Internal storage resiliency: Resilient systems have some form of RAID hardware in the base configuration, either through support on the system board or a dedicated slot for a RAID controller card for support of the server's internal drives. F. System Scalability – All of the following shall be present in the server:
E.11	Higher memory capacity: >=8 DDR3 or DDR4 DIMM Ports per socket, with resilient links between the processor socket and memory buffers; and
E.12	Greater I/O expandability: Larger base I/O infrastructure and support a higher number of I/O slots. Provide at least 32 dedicated PCIe Gen 2 lanes or equivalent I/O bandwidth, with at least one x16 slot or other dedicated interface to support external PCIe, proprietary I/O interface or other industry standard I/O interface

590

591 **Annex C: Creating a single metric (normative)**

592 **C.1 Weightings - a justification**

593 60/35/5

594 Geomeans

595 **C.2 Scaling of idle power limits**

596 Memory, drives, additional features and increased performance capacity

597

598 Annex D: Deployed Power Assessment

599 D.1 Overview of the Deployed Power Analysis Methodology for 600 validation of a proposed server efficiency metric

601 In order to evaluate potential metrics, a series of assessments to the relative power impact of selecting the server offers a
602 definitive confirmation of a metric's ability to predict efficiency of the server as deployed in the data centre. The
603 assessment comprises a graphical correlation and rank comparison between the power impact of a number of servers
604 deployed to execute a defined workload versus the aggregated efficiency metric for the server. The selection of servers
605 mimics the provisioning method used by IT professionals when determining the data processing needs of that data
606 centre.

607 The Deployed Power assessment is based on determining the ability of provisioning a set of servers for a targeted
608 workload that results in a minimum expenditure of energy at the data centre, across the various utilization levels. The
609 metric validation is to ensure that a better efficiency score will result in a lower deployed server power demand to
610 execute that work in a data centre. The use of a deployed power calculation enables differentiation between the
611 effectiveness of a low performance, low power server and a high performance, high power server, as it enables an
612 assessment of the number of servers and their associated energy use required to deliver a given workload in a data
613 centre or office environment.

614 To calculate the number of servers needed to perform a workload and their associated deployed power, it is necessary to
615 select a workload level to use to calculate the number of deployed servers.

- 616 1) Target workload should represent the maximum composite of the work targeted for the data centre. Based on
617 actual workloads deployed in data centres, the weights used are 65% CPU, 30% Memory and 5%Storage.
- 618 2) A value of 100 * the maximum performance value of the group of tested servers defines the target workload
619 performance level for the evaluation. This value is large enough avoid quantization effects.
- 620 3) As servers execute a diverse range of workloads, three assessment workloads were selected: a CPU intensive
621 workload, a memory intensive workload and a weighted workload which mimics the workload weighting of the
622 combined metric being assessed.
- 623 4) Additionally, the following power/utilization/workload types to be used in our comparison analysis. The intent is to
624 assess a combination of workload types and power consumption levels that a set of servers will experience in an
625 operating environment:
 - 626 a) idle power as measured by the SERT tool;
 - 627 b) geomean of the power for all workloads at the 25% utilization (light workload);
 - 628 c) geomean of the power for all workloads at the 50% utilization (medium workload);
 - 629 d) geomean of the power for all workloads at the 100% utilization (heavy workload);
 - 630 e) weighted deployed power using the metric weighting;
 - 631 f) weighted deployed power using the CPU intensive workload weightings (85% CPU, 15% memory);
 - 632 g) weighted deployed power using the Memory intensive workload weightings (40% CPU, 60% memory).

633 Multiple workloads and power use scenarios were assessed to validate that the combined metric is balanced and
634 representative of efficiency across the range of workloads that servers are expected to perform and to avoid the
635 assertion that use of a single power/workload/utilization might be biased to a particular outcome.

636 D.2 Determining the number of deployed servers

637 D.2.1 General

638 Any attempt at a single efficiency metric based upon the SERT tool must use some method to combine the individual
639 worklet values to create a single value. The aggregation used to determine a single value is with the geomean
640 combinatory method and the designated component (CPU, memory and storage) weightings to calculate the number of
641 servers required.

642 In order to determine the number of servers required for any given server model one must determine both a performance
 643 target for the dataset and a performance capability for each individual server. The individual worklet performance
 644 values reported in the SERT tool are combined to determine the number of servers required to meet the performance
 645 target.

646 D.2.1 Establishing target performance

647 In order to minimize quantization issues, since deployed power is based on an integral number of servers, a 100 times
 648 the maximum performance of the highest performance server in the data set for the performance target,

649 The number of servers required to meet a desired performance level is calculated according to Equation B.1.

$$Deployed_{QTY_n} = Roundup \left(\frac{100 \times Max(Perf_{AllServers})}{Perf_n} \right) \quad \text{Equation D.1}$$

650 where:

651 $Perfmax_{AllServers}$ = the performance values for all servers in the data set

652 $Perfmax_n$ = the maximum performance of server n.

653 D.2.1 Weighting factors

654 A weighted geomean (CPU 60 %: Memory 35 %: Storage 5 %) of performance, designated as the weighted
 655 performance maximum, is used.

656 Equation D.2 aggregates the geomean of the normalized maximum performance values to obtain a single maximum
 657 performance number for each individual server. The weighted maximum performance number represents the
 658 maximum capability of the server and what would be used to provision a data centre.

$$Perfmax_{weighted} = \exp \left[0,6 \times \ln(Perfmax_{CPU}) + 0,35 \times \ln(Perfmax_{Memory}) + 0,05 \times \ln(Perfmax_{Storage}) \right] \quad \text{Equation D.2}$$

659 where:

$$Perfmax_{CPU} = \left(\prod_{i=1}^7 Perfmax_i \right)^{1/7} \quad \text{Equation D.3}$$

660 where i the CPU efficiency worklet and is for worklet_{Compress}, 2 for worklet_{LU}, 3 for worklet_{SOR}, 4 for
 661 worklet_{Crypto}, 5 for worklet_{Sort}, 6 for worklet_{SHA256} and 7 for worklet_{Hybrid SSJ}

$$Perfmax_{Memory} = \left(\prod_{i=1}^2 Perfmax_i \right)^{1/2} \quad \text{Equation D.4}$$

662 where i the memory efficiency worklet and is 1 for worklet_{Flood3} and 2 for worklet_{Capacity3}

663

$$Perfmax_{Storage} = \left(\prod_{i=1}^2 Perfmax_i \right)^{1/2} \quad \text{Equation D.5}$$

664 where i the storage efficiency worklet and is 1 for worklet_{Sequential} and 2 for worklet_{Random}

665 $Perfmax_{worklet}$ represents the maximum performance score from the SERT data base.

666 $Perfmax_{Wght}$ represents the newly calculated weighted maximum performance

667 Other annexes (as required)

- 668 Power Supply Evaluation methods- (include PFC)
- 669 Max_power under application (put in Annex ?)
- 670 Idle power for regulation (inappropriate)
- 671 ASHRAE description on A2, A3, A4? (need to compare EN300 019 xxxx vs. ASHRAE) desire to match ASHRAE but,
672 be able to reference EN300 019)
- 673 TGG updated review of power quality and ITI's CBEMA curves
- 674 Market surveillance
- 675

676 Bibliography

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681 [d=2334&language=en-US&PortalId=0&TabId=353](http://www.digitaleurope.org/DesktopModules/Bring2mind/DMX/Download.aspx?Command=Core_Download&EntryId=2334&language=en-US&PortalId=0&TabId=353)
- 682 ISO/IEC 30134-4: Information technology – Data Centres – Key Performance Indicators - Part 4: IT Equipment Energy
683 Efficiency for Servers (ITEE_{SV})
- 684 Deployed power, The Green Grid,
- 685 Energy Star for servers
- 686 ASHRAE TC9.4
- 687 ASHRAE TC9.9, Data Center Equipment Thermal Design Guidelines
- 688 CBEMA (Computer Business Equipment Manufacturers Association) Curves, ITI Council, 2007
- 689 European Environmental Citizens Organisation for Standards www.ecostandard.org
- 690 SPEC Power and Performance Methodology;
- 691 SPEC Power Measurement Setup Guide
692 https://www.spec.org/power/docs/SPECpower-Measurement_Setup_Guide.pdf
- 693 SPEC PTDaemon Design Document;
- 694 SERT Run and Reporting Rules;
- 695 SERT JVM Options;
- 696 SERT Result File Fields.
- 697 https://www.spec.org/power/docs/SPEC-Power_Analyzer_Acceptance_Process.pdf
- 698 TGG, TGG/SPEC creating a single value metric {placeholder for real title}
- 699

700

History

Document history		
<Version>	<Date>	<Milestone>
V0.0.1	04/10/2016	First draft
V0.0.2	08/02/2017	After face to face meeting
V0.0.3	08/11/2016	Working draft V.0.0.2 with correct format etc).

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