

EN 303 470 V0.0.4 (2017-04)



**Measurement Process for Energy Efficiency KPI  
for Servers**

**WORKING DRAFT**



1  
2  
3

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Reference

<Workitem>

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Keywords

<keywords>

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### **ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

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Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

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 84 pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found  
 85 in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in*  
 86 *respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web  
 87 server (<http://ipr.etsi.org>).

88 Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee  
 89 can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web  
 90 server) which are, or may be, or may become, essential to the present document.

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## 91 Foreword

92 This European Standard (EN) has been produced by ETSI Technical Committee Environmental Engineering (EE).

93 **The present document is**

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<b>National transposition dates</b>	
Date of adoption of this EN:	<b>1 October 2014</b>
Date of latest announcement of this EN (doa):	<b>31 January 2015</b>
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	<b>31 July 2015</b>
Date of withdrawal of any conflicting National Standard (dow):	<b>31 July 2015</b>

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## 98 Modal verbs terminology

99 In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and  
 100 "cannot" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of  
 101 provisions).

102  
 103 "must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

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## 104 Introduction

105 The present document specifies a metric for the assessment of energy efficiency of computer servers using reliable,  
 106 accurate and reproducible measurement methods, which take into account the recognised state of the art.

107 The specification formalizes the metrics representing a single figure of merit of a single computer server representing  
 108 the relative efficiency and power impact at an ICT site level of deployment. The metric is targeted for use as a tool in  
 109 the selection process of servers to be provisioned for an ICT site.

110 **Editors NOTE:** still need to elaborate on this here

111 The metric of the present document is based upon the Server Efficiency Rating Tool (SERT)<sup>TM</sup> of the Standard  
 112 Performance Evaluation Corporation (SPEC) and takes into account:

- 113 • the Ecodesign Technical Assistance Study on Standards for Lot 9 Enterprise Servers and Enterprise Data Storage
- 114 • energy efficiency KPIs standardisation in ISO/IEC JTC/1 SC/39 and CLC TC 215;
- 115 • activity related to deployed power by The Green Grid;
- 116 • Energy Star.

117 This work item defines energy efficiency metrics and measurement methodology for server equipment under  
 118 standardisation Mandate M/462 of the European Commission. The document does not address home servers and small  
 119 servers under the Mandate M/545.

**Comment [MG1]:** Meant as a place holder to improve the description, need, and limitations for a generic comparison tool. Limitations lead to the categories of product that can be realistically compared against each other. I intended to elaborate of this overview to rationalize the need for categories and exemptions.

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## 120 1 Scope

121 The present document specifies a metric for the assessment of energy efficiency of computer servers using reliable,  
122 accurate and reproducible measurement methods, which take into account the recognised state of the art.

123 The metric applies to general purpose 1- and 2-socket computer servers, with their own dedicated power supply,  
124 provisioned for use within an ICT site of which the data processing requirement exceeds the use of a single server.

125 The metric applies to a computer server product family, including type and count of CPU, memory, storage, power  
126 supplies, cooling (e.g. fans) and any other add-on hardware expected to be present when deployed.

127 The metric applies to the following types of rack-mounted or pedestal form-factor servers:

- 128 • blade server with no more than four processor sockets per blade;
- 129 • multi-node with no more than four processor sockets.

130 Types of server to which this metric do not apply are listed in Annex E.

131 The present document defines:

- 132 • an energy efficiency metric to support procurement requirements;
- 133 • requirements for equipment to perform the measurements and analysis;
- 134 • requirements for the measurement process;
- 135 • requirements for the management of the KPI calculation;
- 136 • operation or run rules to configure, execute, and monitor the testing;
- 137 • documentation and reporting requirements;
- 138 • a validation process for the metric to ICT site level impact.

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## 139 2 References

140 References are either specific (identified by date of publication and/or edition number or version number) or  
141 non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the  
142 referenced document (including any amendments) applies.

143 Referenced documents which are not found to be publicly available in the expected location might be found at  
144 <http://docbox.etsi.org/Reference>.

145 NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee  
146 their long term validity.

147 **Editor NOTE: How much can we reference from SPEC?**

### 148 2.1 Normative references

149 The following referenced documents are necessary for the application of the present document.

- 150 [1] EN 62623:2013, Desktop and notebook computers. Measurement of energy consumption
- 151 [2] ETSI EN 300 132-3-1, Environmental Engineering (EE); Power supply interface at the input to  
152 telecommunications and datacom (ICT) equipment; Part 3: Operated by rectified current source,  
153 alternating current source or direct current source up to 400 V; Sub-part 1: Direct current source  
154 up to 400 V
- 155 [3] SPEC Server Efficiency Rating Tool (SERT) Design Document V.1.1.1.  
156 [http://www.spec.org/sert/docs/SERT-Design\\_Document.pdf](http://www.spec.org/sert/docs/SERT-Design_Document.pdf)
- 157 [4] SPEC Server Efficiency Rating Tool (SERT) User Guide V.1.1.1.  
158 [http://www.spec.org/sert/docs/SERT-User\\_Guide.pdf](http://www.spec.org/sert/docs/SERT-User_Guide.pdf)
- 159 [5] SERT, Servers 2.1 Clarification Memo, located on the Enterprise Servers Specification Version  
160 2.1 website  
161 ([https://www.energystar.gov/products/spec/enterprise\\_servers\\_specification\\_version\\_2\\_1\\_pdf](https://www.energystar.gov/products/spec/enterprise_servers_specification_version_2_1_pdf))

### 162 2.2 Informative references

163 The following referenced documents are not necessary for the application of the present document but they assist the  
164 user with regard to a particular subject area.

- 165 [i.1] EN 60297 series, Mechanical structures for electrical and electronic equipment. Dimensions of  
166 mechanical structures of the 482,6 mm (19 in) series
- 167 [i.2] EN 300 019 series, Environmental Engineering (EE); Environmental conditions and environmental  
168 tests for telecommunications equipment
- 169 [i.3] EPRI/ECOVA, Generalized Test Protocol for Calculating the Energy Efficiency of Internal A.c.-  
170 D.c. and D.c-D.c Power Supplies Revision 6.7, March 2014 .
- 171 [i.4] IEEE 1100, IEEE Recommended Practice for Powering and Grounding Electronic Equipment



## 172 3 Definitions, symbols and abbreviations

173 *Definitions and abbreviations extracted from ETSI deliverables can be useful when drafting documents and can be*  
 174 *consulted via the **Terms and Definitions Interactive Database (TEDDI)** (<http://webapp.etsi.org/Teddi/>).*

### 175 3.1 Definitions

176 For the purposes of the present document, the following terms and definitions apply:

177 **active state:** operational state of a computer server (as opposed to the idle state) in which the computer server is  
 178 carrying out work in response to prior or concurrent external requests (e.g. instruction over the network) and includes  
 179 both active processing and data seeking/retrieval from memory, cache, or internal/external storage while awaiting  
 180 further input over the network

181 **blade chassis:** enclosure that contains shared resources for the operation of blade servers, blade storage, and other blade  
 182 form-factor devices. Shared resources provided by a chassis may include power supplies, data storage, and hardware for  
 183 d.c power distribution, thermal management, system management, and network services

184 **blade server:** computer server, designed for use in a blade chassis, that is a high-density device and functions as an  
 185 independent computer server and includes at least one processor and system memory, but is dependent upon shared  
 186 blade chassis resources (e.g. power supplies, cooling) for operation

187 NOTE to entry: A processor or memory module that is intended to scale up a standalone server is not considered a blade server.

188 **multi-bay blade server:** blade server requiring more than one bay for installation in a blade chassis

189 **single-wide blade server:** blade server requiring the width of a standard blade server bay

190 **double-wide blade server:** blade server requiring twice the width of a standard blade server bay

191 **half-height blade server:** blade server requiring one half the height of a standard blade server bay

192 **quarter-height blade server:** blade server requiring one quarter the height of a standard server bay

193 **multi-node blade server:** blade server which has multiple nodes

194 NOTE to entry: The blade server itself is hot swappable, but the individual nodes are not.

195 **blade storage:** storage device that is designed for use in a blade chassis and that is dependent upon shared blade chassis  
 196 resources (e.g. power supplies, cooling) for operation

197 **blade system:** system comprised of a blade chassis and one or more removable blade servers and/or other units (e.g.  
 198 blade storage, blade network equipment) which provide a scalable means for combining multiple blade server or storage  
 199 units in a single enclosure, and are designed to allow service technicians to easily add or replace (hot-swap) blades in  
 200 the field

201 NOTE 1 to entry: High Performance Computer (HPC) systems feature a large number of clustered homogeneous nodes often featuring high speed  
 202 inter-processing interconnects as well as large memory capability and bandwidth.

203 NOTE 2 to entry: High Performance Computer (HPC) systems may be purposely built, or assembled from more commonly available computer  
 204 servers.

205 **buffered double data rate (DDR) channel:** channel or memory port connecting a memory controller to a defined  
 206 number of memory devices (e.g. dual in-line memory modules (DIMMs)) in a computer server

207 NOTE 1 to entry: A typical computer server may contain multiple memory controllers, which may in turn support one or more buffered DDR  
 208 channels.

209 NOTE 2 to entry: Each buffered DDR channel serves only a fraction of the total addressable memory space in a computer server.

210 **computer server:** computer that provides services and manages networked resources for client devices (e.g., desktop  
 211 computers, notebook computers, thin clients, wireless devices, Personal Digital Assistants, Internet Protocol telephones,  
 212 other computer servers, or other network devices)

213 NOTE 1 to entry: A computer server is sold through enterprise channels for use in data centers and office/corporate environments.

214 NOTE 2 to entry: A computer server is primarily accessed via network connections, versus directly-connected user input devices such as a keyboard  
 215 or mouse.

216 **hyper-converged server:** highly integrated enterprise device which contains the same components as a  
 217 computer server in addition to the features of a network server and storage server

218 **fully fault tolerant server:** computer server that is designed with complete hardware redundancy, in which  
 219 every computing component is replicated between two nodes running identical and concurrent workloads (i.e.  
 220 if one node fails or needs repair, the second node can run the workload alone to avoid downtime) and that uses

**Comment [MG2]:** Not in V0.0.4 but the industry may need this in describing applicability of Idle power as a sub metric.

- 221 two systems to simultaneously and repetitively run a single workload for continuous availability in a mission  
222 critical application
- 223 **multi-node server:** computer server that is designed with two or more independent server nodes that share a  
224 single enclosure and one or more power supplies and in which power is distributed to all nodes through shared  
225 power supplies  
226 NOTE to entry: Server nodes in a multi-node server are not designed to be hot-swappable.
- 227 **network server:** large scale network device which contains the same components as a computer server  
228 together with more than 11 ports, has a total line rate throughput of greater than or equal to 12Gb/s and is  
229 designed to dynamically reconfigure ports and speed and to support a virtualized network environment,  
230 software defined networking  
231 NOTE to entry: Supporting features are described by the product's datasheet description and is either accompanied with vendor specific  
232 utilities and/or commercially available software supporting these functions.
- 233 **pedestal server:** self-contained computer server that is designed with power supply units, cooling,  
234 input/output devices, and other resources necessary for stand-alone operation within a frame similar to that of a  
235 tower client computer.
- 236 **rack-mounted server:** computer server that is designed for deployment in a standard 19inch ICT site rack as  
237 defined by EN 60297 [i.1]  
238 NOTE to entry: For the purposes of this specification, a blade server is considered under a separate product category and excluded from  
239 the rack-mounted product category.
- 240 **resilient server:** computer server designed with extensive reliability, availability, serviceability (RAS) and  
241 scalability features integrated in the micro architecture of the system, central processing unit and chipset  
242 NOTE to entry: The characteristics of a resilient server are described in the Annex B
- 243 **server appliance:** computer server that is bundled with a pre-installed operating system and application  
244 software which is used to perform a dedicated function or set of tightly coupled functions.  
245 NOTE 1 to entry: Server appliances deliver services through one or more networks (e.g. Internet Protocol or Storage Area Network), and  
246 are typically managed through a web or command line interface.  
247 NOTE 2 to entry: Server appliance hardware and software configurations are customized by the vendor to perform a specific task (e.g.  
248 name services, firewall services, authentication services, encryption services, and voice-over-IP services), and are not intended to execute  
249 user-supplied software.
- 250 **controller system:** computer or computer server that manages a benchmark evaluation process
- 251 **data averaging interval:** time period over which all samples captured by the high-speed sampling electronics of the  
252 power analyzer are averaged to provide the measurement set
- 253 **deployed power:**
- 254 **efficiency:**
- 255 **hard disk drive:** primary computer storage device which reads and writes to one or more rotating magnetic disk  
256 platters
- 257 **High Performance Computing (HPC) system:** computing system which is designed and optimized to execute highly  
258 parallel applications.
- 259 **hypervisor:** an operating system level software that establishes and manages a virtualized environment which enables  
260 multiple operating systems to run on a single physical system at the same time
- 261 **ICT equipment:** equipment providing data storage, processing and transport services  
262 NOTE to entry: a combination of Information Technology Equipment and Network Telecommunications Equipment
- 263 **ICT site:** site containing structures or group of structures dedicated to the accommodation, interconnection and  
264 operation of ICT equipment together with all the facilities and infrastructures for power distribution and environmental  
265 control together with the necessary levels of resilience and security required to provide the desired service availability
- 266 **idle state:** operational state of a computer server in which the operating system and other software have completed  
267 loading, the computer server is capable of completing workload transactions, but no active workload transactions are  
268 requested or pending by the system (i.e., the computer server is operational, but not performing any useful work)  
269 NOTE 1 to entry: For systems where ACPI standards are applicable, idle state correlates only to ACPI System Level S0.
- 270 **I/O device:** device which provides data input and output capability between a computer server and other devices  
271 NOTE 1 to entry: An I/O device may be integral to the computer server motherboard or may be connected to the motherboard via expansion slots  
272 (e.g., PCI, PCIe).  
273 NOTE 2 to entry: Examples of I/O devices include discrete Ethernet devices, InfiniBand devices, RAID/SAS controllers, and Fibre Channel devices.

**Comment [MG3]:** Definition of  
be inserted

**Comment [MG4]:** Definition of  
be inserted

- 274 **I/O port:** physical circuitry within an I/O device where an independent I/O session can be established  
 275 NOTE 1 to entry: A port is not the same as a connector receptacle; it is possible that a single connector receptacle can service multiple ports of the  
 276 same interface
- 277 **maximum power:** xxxxx
- 278 **memory:** server component external to the processor in which information is stored for immediate use by the processor
- 279 **motherboard:** main circuit board of the server typically accommodating the processor, memory, BIOS, expansion  
 280 slots and enabling the attachment of additional circuit boards
- 281 **network client (testing):** computer or computer server that generates workload traffic for transmission to a unit under  
 282 test connected via a network switch
- 283 **network equipment:** device whose primary function is to pass data among various network interfaces, providing data  
 284 connectivity among connected devices (e.g. routers and switches) via the routing of data packets encapsulated according  
 285 to Internet Protocol, Fibre Channel, InfiniBand or similar protocol
- 286 **normalized performance:** xxxxx
- 287 **power supply unit:** self-contained device, physically separable from the motherboard of the computer server, that  
 288 converts a.c. or d.c. input power to one or more d.c. power outputs for the purpose of powering the computer server via  
 289 a removable or hard-wired electrical connection
- 290 **a.c.-d.c power supply unit:** power supply unit that converts line-voltage alternating current (a.c.) input power  
 291 into one or more direct current (d.c.) power outputs for the purpose of powering a computer server
- 292 **d.c.-d.c power supply unit:** power supply unit that converts line-voltage direct current (d.c.) input power to  
 293 one or more d.c. outputs for the purpose of powering a computer server  
 294 NOTE to entry: For purposes of this specification, a d.c.-d.c converter (also known as a voltage regulator) that is internal to a computer  
 295 server and is used to convert a low voltage d.c (e.g. 12 VDC) into other d.c power outputs for use by computer server components is not  
 296 considered a d.c.-d.c power supply
- 297 **single output power supply unit:** power supply unit designed to deliver the majority of its rated output power  
 298 to one primary direct current (d.c.) output for the purpose of powering a computer server  
 299 NOTE 1 to Entry: Single-output power supply units may offer one or more standby outputs that remain active whenever connected to an  
 300 input power source.  
 301 NOTE 2 to Entry: The total rated power output from any additional power supply units outputs that are not primary and standby outputs  
 302 shall be no greater than 20 W.  
 303 NOTE 3 to Entry: Power supply units that offer multiple outputs at the same voltage as the primary output are considered single-output  
 304 power supply units unless those outputs are generated from separate converters or have separate output rectification stages, or have  
 305 independent current limits.
- 306 **multi-output power supply unit:** power supply unit designed to deliver the majority of its rated output power  
 307 to more than one primary direct current (d.c.) output for the purpose of powering a computer server  
 308 NOTE 1 to Entry: Multi-output power supply units may offer one or more standby outputs that remain active whenever connected to an  
 309 input power source.  
 310 NOTE 2 to Entry: The total rated power output from any additional power supply units outputs that are not primary and standby outputs is  
 311 greater than or equal to 20 W.
- 312 **processor:** the central processing unit of the computer server comprising logic circuitry that responds to and processes  
 313 the basic instructions that drive the server
- 314 **product category:** second-order classification or sub-type within a product familytype that is based on product features  
 315 and installed components and used in the present document to determine qualification and test requirements
- 316 **reported maximum power:** highest maximum power recorded on the twelve SERT worklet scores for the two tested  
 317 configurations
- 318 **server processor utilization:** ratio of processor computing activity to full-load processor computing activity at a  
 319 specified voltage and frequency, measured instantaneously or with a short term average of use over a set of active  
 320 and/or idle cycles
- 321 **server product family:** high-level description for a group of servers sharing one chassis and motherboard combination  
 322 that may contain multiple hardware and software configurations
- 323 **solid state drive:** storage device that uses memory chips instead of rotating magnetic platters for data storage
- 324 **storage product:** fully-functional storage system that supplies data storage services to clients and devices attached  
 325 directly or through a network

**Comment [MG5]:** Definition of  
be inserted

**Comment [MG6]:** Definition of  
be inserted

326 NOTE 1 to entry: A storage product may be composed of integrated storage controllers, storage devices, embedded network elements, software, and  
 327 other devices. While storage products may contain one or more embedded processors, these processors do not execute user-supplied software  
 328 applications but may execute data-specific applications (e.g., data replication, backup utilities, data compression, install agents).  
 329 NOTE 2 to entry: Components and subsystems that are an integral part of the storage product architecture (e.g. to provide internal communications  
 330 between controllers and disks) are considered to be part of the storage product.  
 331 NOTE 3 to entry: Components that are normally associated with a storage environment at the ICT site level (e.g. devices required for operation of an  
 332 external SAN) are not considered to be part of the storage product.

333 **storage server:** enterprise storage device which contains the same components as a computer server together with  $\geq 10$   
 334 storage devices and software (vendor or 3rd party) that supports **Storage System Connectivity, Capacity Optimization**  
 335 **Management, virtualized storage environment and software defined storage**

336 NOTE to entry: Supporting features are described by the product's datasheet description and is either accompanied with vendor specific utilities  
 337 and/or commercially available software supporting these functions.

338 **Uninterruptible Power Supply (UPS):** combination of convertors, switches, and energy storage devices (such as  
 339 batteries) constituting a power system for maintaining continuity of load power in case of input power failure

340 **weighted geometric mean:** xxxxxx

**Comment [MG7]:** Definition of  
be inserted

341 **worklets:** xxxxxx

**Comment [MG8]:** Definition of  
be inserted

342 **EDITORS NOTE:** during the development of the document this will be added to

## 343 3.2 Symbols

344 For the purposes of the present document, the following symbols apply:

345 XXXX

346 **EDITORS NOTE:** during the development of the document this will be added to

## 348 3.3 Abbreviations

349 For the purposes of the present document, the following abbreviations apply:

350	a.c., AC	alternating current
351	ACPI	Advanced Configuration and Power Interface
352	APA	Auxiliary Processing Accelerator:
353	d.c., DC	direct current
354	Geomean	geometric mean of n items
355		$Geomean(x) = \left( \prod_{i=1}^n x_i \right)^{1/n} = \sqrt[n]{x_1 \times \dots \times x_n}$
356		
357	CPU	Central Processor Unit
358	DDR	Double Data Rate
359	DIMM	Dual In-line Memory Module
360	GPGPU	General-purpose computing on graphics processing units
361	HDD	Hard Disk Drive
362	HPC	Higher Performance Computing
363	LISN	Line Impedance Stabilization Network
364	PCI	Peripheral Component Interconnect
365	PFC	Power Factor Correction
366	PDU	Power Distribution Unit
367	PDU	Power Supply Unit
368	SERT	Server Efficiency Weighting Tool
369	SPEC	Standard Performance Evaluation Corporation
370	SSD	Solid State Drive
371	RAS	Reliability, Availability and Serviceability
372	RASM	Reliability, Availability, Serviceability and Manageability
373	RMS	Root Mean Square
374	UPS	Uninterruptible Power Supply
375	UUT	Unit Under Test

376  
377

EDITORS NOTE: during the development of the document this will be added to

## 378 4 Server configurations

### 379 4.1 Computer server specification

380 Editors NOTE: We want a consistent feasible testing method similar to Energy Star, but must be able to ship every different combination/ conditions  
381 that people purchase ;

382 For the purposes of the present document the computer server shall be:

- 383 • marketed and sold as a computer server;
- 384 • designed for and listed as supporting one or more computer server operating system (OS) and/or hypervisor;
- 385 • targeted to run user-installed applications typically, but not exclusively, enterprise in nature;
- 386 • packaged and sold with one or more a.c.-d.c or d.c-d.c. power supplies;
- 387 • designed such that all processors have access to shared system memory and are visible to a single OS or hypervisor

388 and shall provide support for error-correcting code and/or buffered memory (including both buffered dual in-line  
389 memory modules (DIMMs)) and buffered on board configurations).

### 390 4.2 Computer server family specification

#### 391 4.2.1 General

392 A server product family configuration shall

- 393 • be from the same model line or machine type;
- 394 • either share the same form factor (i.e., rack-mounted, blade, pedestal) or share the same mechanical and electrical  
395 designs with only superficial mechanical differences to enable a design to support multiple form factors;
- 396 • either share processors from a single defined processor series or share processors that plug into a common socket  
397 type;
- 398 • share the power supply unit(s).

#### 399 4.2.2 Typical configuration

400 

**Comment [MG9]:** Description to be provided. Table from TGG/ITI to describes implementations

#### 401 4.2.3 “High-end” performance configuration

402 “High-end” performance configuration of a server product family means the combination of two solid state drives  
403 (SSDs), processor with the highest product of core count and frequency and memory capacity (in GB) equal to 1.0 to  
404 2.0 times the product of the number of central processing units (CPUs), cores and hardware threads that represents the  
405 highest performance product model within the product family.

#### 406 4.2.4 “Low-end” performance configuration

407 “Low-end” performance configuration of a server product family means the combination of two 10,000 rpm hard disk  
408 drives (HDDs), processor with the lowest product of core count and frequency and memory capacity (in GB) equal to  
409 0.5 to 0.75 times the product of the number of CPUs, cores and hardware threads that represents the lowest performance  
410 product model within the product family.

**Comment [MG10]:** Memory is limited by number of channels and threads of the processor. All memory channels also have to be balanced. (e.g. 4 DIMMs of 4GB each= 16GB; no way to get 6GB).

### 411 4.3 Computer server product category?

412 Where do these fit in??

## 413 5 Metrics

### 414 5.1 Active state metric

#### 415 5.1.1 Worklets

416 The SERT™ tool reports performance and power data for:

- 417 • 7 CPU worklets and of the 7 CPU worklets, 6 are used in the creation of the single value efficiency metric i.e.
- 418 Compress, LU, CryptoAES, SOR, Sort, and SHA 256;
- 419 NOTE: XML\_validate is represented in the capacity metric and is therefore excluded from the CPU workload calculation.
- 420 • 2 memory worklets: Flood3 and Capacity3;
- 421 • 2 storage worklets: Sequential and Random;
- 422 • 1 hybrid worklet: Hybrid SSJ.
- 423 NOTE: The Hybrid SSJ worklet is also considered as a CPU workload for the purposes of creating a single combined efficiency metric.

424 For each worklet, data is reported for a set of proportional performance intervals and associated, measured power values  
425 along with other test measurements.

426 An interval efficiency value can be calculated by dividing each normalized performance value by the interval power  
427 value. The set of individually measured Performance and Power values with their associated efficiency value will be  
428 referred to as interval data.

#### 429 5.1.2 Formulae

##### 430 5.1.2.1 General

431 In order to create a single energy efficiency metric for a server it is necessary to combine the worklet interval  
432 performance and power values for all the different worklets.

433 The present document creates a single efficiency metric using the following procedure:

- 434 1) combine individual worklet normalized performance and power interval data to obtain an overall Efficiency,  
435 Performance and Power value for the worklet;
- 436 2) combine worklet Efficiency, Performance and Power values by workload type (CPU, Memory, Storage) to obtain a  
437 workload type value;
- 438 3) combine worklet types using a weighted geomean to obtain a single, total server Efficiency, Performance or Power  
439 value.

440 The geomean function offers the best option to combine the interval data to produce a worklet efficiency score and the  
441 workload (CPU, memory, storage) worklet efficiency scores to create a workload efficiency score. Using the geomean  
442 prevents any single performance, power, worklet or workload efficiency score from unduly influencing the single  
443 metric.

444 The active state metric may be determined in a number of ways with the choice **depending on assessment.**

##### 445 5.1.2.2 Approach A

446 The active state metric is defined as

$$Eff_{server} = \frac{Perf_{server}}{Pwr_{server}} \quad \text{Equation 1}$$

447 where:

$$Perf_{server} = \exp \left[ W_{CPU} \times \ln \left( Perf_{CPU} \right) + W_{Memory} \times \ln \left( Perf_{Memory} \right) + W_{Storage} \times \ln \left( Perf_{Storage} \right) \right] \quad \text{Equation 2}$$

$$Pwr_{server} = \exp \left[ W_{CPU} \times \ln \left( Pwr_{CPU} \right) + W_{Memory} \times \ln \left( Pwr_{Memory} \right) + W_{Storage} \times \ln \left( Pwr_{Storage} \right) \right] \quad \text{Equation 3}$$

448 Within equations 2 and 3

$$Perf_{CPU} = \left( \prod_{i=1}^7 Perf_i \right)^{1/7} \quad \text{and} \quad Pwr_{CPU} = \left( \prod_{i=1}^7 Pwr_i \right)^{1/7} \quad \text{Equation 4}$$

449 where  $i = 1$  for `workletCompress`,  
 450  $i = 2$  for `workletLU`,  
 451  $i = 3$  for `workletSOR`,  
 452  $i = 4$  for `workletCrypto`,  
 453  $i = 5$  for `workletSort`,  
 454  $i = 6$  for `workletSHA256` and  
 455  $i = 7$  for `workletHybrid_SSI`.

$$Perf_{Memory} = \left( \prod_{i=1}^2 Perf_i \right)^{1/2} \quad \text{and} \quad Pwr_{Memory} = \left( \prod_{i=1}^2 Pwr_i \right)^{1/2} \quad \text{Equation 5}$$

456 where  $i = 1$  for `workletFlood3`,  
 457  $i = 2$  for `workletCapacity3`.

$$Perf_{Storage} = \left( \prod_{i=1}^2 Perf_i \right)^{1/2} \quad \text{and} \quad Pwr_{Storage} = \left( \prod_{i=1}^2 Pwr_i \right)^{1/2} \quad \text{Equation 6}$$

458 where  $i = 1$  for `workletSequential`,  
 459  $i = 2$  for `workletRandom`.

### 460 5.1.2.3 Approach B

461 By construct, the Geomean of the interval performance is a fixed percentage of Perfmax, a simplified aggregation  
 462 method would be:” The aggregation clause or section should reference Annex D. Note that Annex D reference max  
 463 performance only. This is how the two correlate. (i.e. deployed efficiency is equivalent to the selection of efficiency.  
 464 As a result, mathematically, the metric corresponds to power levels realized at a data centre level.)

465 Alternatively {choice depending on assessment}

$$Eff_{server} = \exp \left[ W_{CPU} \times \ln \left( Eff_{CPU} \right) + W_{Memory} \times \ln \left( Eff_{Memory} \right) + W_{Storage} \times \ln \left( Eff_{Storage} \right) \right] \quad \text{Equation 7}$$

466 Within equation 7

$$Eff_{CPU} = \left( \prod_{i=1}^7 Eff_i \right)^{1/7} \quad \text{Equation 8}$$

467 where  $i = 1$  for `workletCompress`,  
 468  $i = 2$  for `workletLU`,  
 469  $i = 3$  for `workletSOR`,  
 470  $i = 4$  for `workletCrypto`,  
 471  $i = 5$  for `workletSort`,  
 472  $i = 6$  for `workletSHA256` and  
 473  $i = 7$  for `workletHybrid_SSI`.

$$Eff_{Memory} = \left( \prod_{i=1}^2 Eff_i \right)^{1/2} \quad \text{Equation 9}$$

474 where  $i = 1$  for `workletFlood3`,  
 475  $i = 2$  for `workletCapacity3`.

$$Eff_{Storage} = \left( \prod_{i=1}^2 Eff_i \right)^{1/2} \quad \text{Equation 10}$$

476 where  $i = 1$  for  $worklet_{sequential}$ ,  
 477  $i = 2$  for  $worklet_{random}$ .

#### 478 5.1.2.4 Approach C?

$$Eff_{worklet} = \left( \prod_{i=1}^n Eff_i \right)^{1/n} = Perf_{worklet} / Pwr_{worklet} \quad \text{Equation 11}$$

$$Perf_{worklet} = \left( \prod_{i=1}^n Perf_i \right)^{1/n} \quad \text{Equation 12}$$

$$Pwr_{worklet} = \left( \prod_{i=1}^n Pwr_i \right)^{1/n} \quad \text{Equation 13}$$

479 where

480  $n$  = the number of worklet interval values for the worklet being evaluated

481  $Eff_i$  = Efficiency value of the worklet interval  $i$

482  $Perf_i$  = Performance value of worklet interval  $i$

483  $Pwr_i$  = Power value of the worklet interval  $i$

484 Now that we have worklet Efficiency, Performance and Power values we combine these using a geomean in order to  
 485 obtain a worklet type value.

#### 486 5.1.2.5 Weightings

487 Independent of the approach taken the following weighting are applied:

488  $W_{CPU}$  is the weighting assigned to the CPU worklets = 0.60

489  $W_{Memory}$  is the weighting assigned to the Memory worklets = 0,35

490  $W_{Storage}$  is the weighting assigned to the Storage worklets = 0,05

## 491 5.2 Idle state metric

492 Idle power is the alternating current (a.c.) power of the device with no activity after running the SERT worklets. Idle  
 493 power is reported by SERT. Idle power of the system typically scales based on the features and performance added.  
 494 When establishing minimum criteria for server idle power, additional power allocation shall be provided consistent with  
 495 the performance and added features in the device.



496 

## 6 Test setup

497 

### 6.1 Input power

498 Input power shall be as specified in Table 1 and Table 2. The frequency for input power shall be as specified in Table 3.

499 **Table 1 - Input power requirements for products with nameplate rated  $\leq 1500$  W**

Product type	Supply voltage	Voltage tolerance (%)	Total harmonic distortion (% max.)
Servers with a.c.-d.c. single-output PSUs	230 VAC or 115 VAC (see NOTE)	1,0	2,0
Servers with a.c.-d.c. multi-output PSUs	230 VAC or 115 VAC (see NOTE)		
Optional Testing Conditions for ac-d.c (Japanese market)	100 VAC		
Three-phase servers (North American market)	208 VAC		
Three-phase servers (European market)	400 VAC		
D.C powered	48 VD.C		
High Voltage D.C (see ETSI EN 300 132-3-1 [1])	260 - 400 VD.C		
NOTE 230 V ac refers to the European market and 115 V ac refers to the North American market			

500

501

**Table 2 - Input power requirements for products with nameplate rated  $> 1500$  W**

Product Type	Supply Voltage	Voltage tolerance (%)	Total harmonic distortion (% max.)
Servers with a.c.-d.c. single-output PSUs	230 VAC or 115 VAC (see NOTE)	4,0	5,0
Servers with a.c.-d.c. multi-output PSUs	230 VAC or 115 VAC (see NOTE)		
Optional Testing Conditions for ac-d.c (Japanese market)	100 VAC		
Three-phase servers (North American market)	208 VAC		
Three-phase servers (European market)	400 VAC		
D.C powered	48 VD.C		
High Voltage D.C (see ETSI EN 300 132-3-1 [1])	260 - 400 VD.C		
NOTE 230 V ac refers to the European market and 115 V ac refers to the North American market			

502

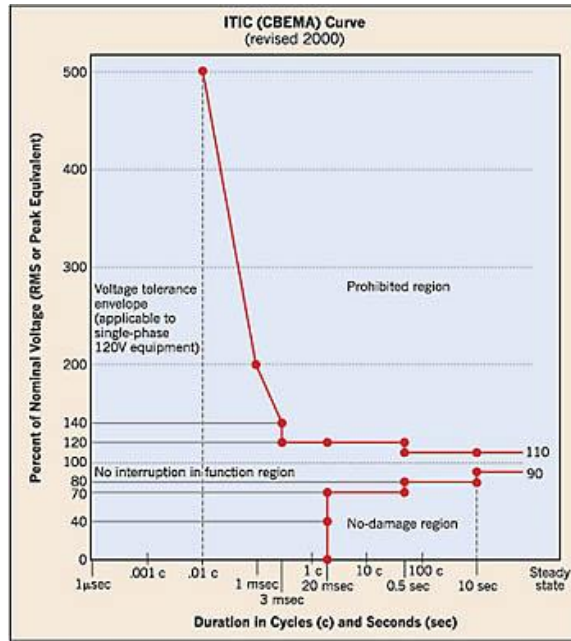
503

**Table 3 - Input frequency requirements for all products**

Supply voltage	Frequency	Frequency tolerance
100 VAC	50 Hz or 60 Hz	1,0
115 VAC	60 Hz	
230 VAC	50 Hz or 60 Hz	
Three-phase (North American Market)	60 Hz	
Three-phase (European Market)	50 Hz	

504

505 Reference: <https://plugloadsolutions.com>



506

507 **Figure 1- ITIC (CBEMA) curve (as published in IEEE Standard 1100: 1999 [i.4])**508 **6.2 Environmental conditions**509 **6.2.1 Ambient Temperature:**510 Ambient temperature shall be within  $25 \pm 5$  °C.511 **Editor NOTE:** reference required to measurement conditions - EN 300 019 [i.2]? to be discussed following the outcome of the Consultation Forum512 **6.2.2 Relative Humidity:**

513 Relative humidity shall be within 15% and 80%.

514 **Editor NOTE:** reference required to measurement conditions - EN 300 019 [i.2]? to be discussed following the outcome of the Consultation Forum515 **6.3 Power analyser**516 The power analyzer shall report true Root Mean Square (RMS) power and at least two of the following parameters:  
517 voltage, current, and power factor.

518 The power analyzer shall:

- 519 a) be chosen from the list of power measuring devices specified in the most current SERT Design Document [3] ;
- 520 b) have been calibrated within a year of the test date, by a standard traceable to the National Institute of Science and  
521 Technology (USA) or a counterpart national metrology institute in other countries.
- 522 c) feature an available current crest factor of 3 or more at its rated range value;
- 523 d) for power analyzers that do not specify the current crest factor, the power analyzer shall be capable of measuring an  
524 amperage spike of at least 3 times the maximum amperage measured during any 1 second sample;
- 525 e) have a minimum frequency response of 3,0 kHz;
- 526 f) have a minimum resolution of:

- 527       ▪ 0,01 W for measurement values less than 10 W;
- 528       ▪ 0,1 W for measurement values from 10 W to 100 W; and
- 529       ▪ 1,0 W for measurement values greater than 100 W.
- 530 g) have a power measurement accuracy of no greater than 1,0 %;
- 531 h) have a logging performance of:
  - 532       ▪ minimum reading rate: one set of measurements (power measurement in W) per second;
  - 533       ▪ data averaging interval equal to the reading rate

## 534 6.4 Temperature sensor

535 The temperature sensor shall:

- 536 a) be chosen from the list of temperature measuring devices specified in the most current SERT Design Document
- 537     [3];
- 538 b) have a temperature measurement accuracy of no greater than  $\pm 0.5$  °C when measured no more than 50mm in front
- 539     of (upwind of) the main airflow inlet of the Unit Under Test (UUT).
- 540 c) have a logging performance of minimum reading rate: four samples per minute.

## 541 6.5 Active state test tool

542 The active state test tool shall be that specified in the most current version of SERT, provided by the Standards  
543 Performance Evaluation Corporation (SPEC) [5].

## 544 6.6 Controller system

545 The controller system shall be capable of the following functions:

- 546 d) start and stop each segment (phase) of the performance benchmark;
- 547 e) control the workload demands of the performance benchmark;
- 548 f) start and stop data collection from the power analyzer so that power and performance data from each phase can be
- 549     correlated;
- 550 g) store log files containing benchmark power and performance information;
- 551 h) convert raw data into a suitable format for benchmark reporting, submission and validation;
- 552 i) collect and store environmental data, if automated for the benchmark.

553 The controller system may be a server, a desktop computer, or a laptop and shall be used to record power from the  
554 equipment specified in 6.3 and temperature data from the equipment specified in 6.4.

555 The controller system and the UUT shall be connected to each other via an Ethernet network switch.

## 556 6.7 General SERT requirements

557 Any additional requirements specified in any SPEC, or the most current SERT supporting documents shall be followed,  
558 unless otherwise specified in this test method.

559 Supporting documents from SPEC include:

- 560 a) SPEC Power and Performance Methodology;
- 561 b) SPEC Power Measurement Setup Guide;
- 562 c) SPEC PTDaemon Design Document;
- 563 d) SERT Design Document [2];

- 564 e) SERT Run and Reporting Rules;  
 565 f) SERT User Guide;  
 566 g) SERT JVM Options;  
 567 h) SERT Result File Fields.

## 568 7 Unit Under Test

### 569 7.1 Configuration

570 The configuration of the UUT shall be as specified in Table 4.

571

**Table 4 - Configuration of UUT**

A)	As-shipped Condition	Products shall be tested in their "as-shipped" configuration, which includes both hardware configuration and system settings, unless otherwise specified in this test method.  Where relevant, all software options shall be set to their default condition.
B)	Measurement location	All power measurements shall be taken at a point between the a.c. power source and the UUT  Uninterruptible Power Supply (UPS) units shall not be connected between the power meter and the UUT.  The power meter shall remain in place until all Idle and Active State power data are fully recorded.  When testing a blade system, power shall be measured at the input of the blade chassis (i.e. at the power supplies that convert ICT site distribution power to chassis distribution power).
C)	Air flow	Purposefully directing air in the vicinity of the measured equipment in a way that would be inconsistent with normal ICT site practices is prohibited
D)	Power supplies	All PSUs shall be connected and operational.  For UUT with multiple PSUs: <ul style="list-style-type: none"> <li>- all power supplies shall be connected to the a.c. power source and operational during the test;</li> <li>- if necessary, a Power Distribution Unit (PDU) may be used to connect multiple power supplies to a single source (if a PDU is used, any overhead electrical use from the PDU shall be included in the power measurement of the UUT).</li> </ul> For blade servers with half-populated chassis configurations, the power supplies for the unpopulated power domains can be disconnected (Table 5, D) for more information)
E)	Power Management and Operating System	The as-shipped operating system or a representative operating system shall be installed. Products that are shipped without operating systems shall be tested with any compatible operating system installed.  For all tests, the power management techniques and/or power saving features shall be left as-shipped.  Any power management features which require the presence of an operating system (i.e. those that are not explicitly controlled by the Basic Input Output System (BIOS) or management controller) shall be tested using only those power management features enabled by the operating system by default.
F)	Storage	Products shall be tested for qualification with at least one HDD or one SSD installed. Products that do not include pre-installed hard drives (HDD or SSD) shall be tested using a storage configuration used in an identical model for sale that does include preinstalled hard drives.  Products that do not support installation of hard drives (HDD or SSD) and, instead, rely exclusively on external storage solutions (e.g. storage area network) shall be tested using external storage solutions.
G)	Blade System and Dual/Multi-Node Servers	A blade system or dual/multi-node server shall have identical configurations for each node or blade server including all hardware components and software/power management settings. These systems shall also be measured in a way that ensures all power from all tested nodes/blade servers is captured by the power meter during the entire test.

H)	Blade Chassis	The blade chassis, at a minimum, shall have power, cooling, and networking capabilities for all the blade servers. The blade chassis shall be populated as specified in Table 5, D). All power measurements for blade systems shall be made at the input of the blade chassis.
I)	BIOS and UUT System Settings	All BIOS settings shall remain as-shipped unless otherwise specified in the test method
J)	Input/Output (I/O) and Network Connection	The UUT shall have at least one port connected to an Ethernet network switch. The switch shall be capable of supporting the UUT's highest and lowest rated network speeds. The network connection shall be live during all tests, and, although the link shall be ready and able to transmit packets, no specific traffic is required over the connection during testing. For the purpose of testing ensure the UUT offers at least one Ethernet port (using a single add-in card only if no onboard Ethernet support is offered).
K)	Energy Efficient Ethernet	Products shipped with support for Energy Efficient Ethernet (compliant with IEEE 802.3az) shall be connected only to Energy Efficient Ethernet compliant network equipment during testing. Appropriate measures shall be taken to enable EEE features on both ends of the network link during all tests.

572

573 

## 7.2 Test procedure

574 The UUT test configuration shall be in accordance with Table 5.

575

**Table 5 - Test configuration of UUT**

A)	The UUT shall be tested with the processor sockets populated.
B)	The UUT shall be installed in a test rack or other static location and shall not be physically moved until testing is complete.
C)	For a multi-node system, the UUT shall be tested for per node power consumption in the fully-populated blade chassis configuration. All multi-node servers installed in the blade chassis shall be identical, sharing the same configuration.
D)	<p>For a blade system, the UUT shall be tested for blade server power consumption in the half-populated blade chassis configuration with an additional option of testing the UUT in the fully populated blade chassis configuration.</p> <p>For blade systems, populate the blade chassis as follows:</p> <ol style="list-style-type: none"> <li>Individual blade server configuration All blade servers installed in the blade chassis shall be identical, sharing the same configuration (homogeneous).</li> <li>Half -chassis population (Required) The number of blade servers required to populate half the number of single-wide blade server slots available in the blade chassis shall be calculated. For blade chassis having multiple power domains, the number of power domains shall be chosen that is closest to filling half of the blade chassis. In a case where there are two choices that are equally close to filling half of the blade chassis, test with the domain or combination of domains which utilize a higher number of blade servers.  Example 1: A blade chassis supports up to 7 single-wide blade servers on two power domains. One power domain supports 3 blade servers and the other supports 4 blade servers. In this example, the power domain which supports 4 blade servers would be fully populated during testing, while the other power domain would remain unpopulated.  Example 2: A blade chassis supports up to 16 single-wide blade servers on four power domains. Each of the four power domains supports 4 blade servers. In this example, two of the power domains would be fully populated during testing, while the other two power domains would remain unpopulated.  All user manual or manufacturer recommendations shall be followed for partially populating the blade chassis, which may include disconnecting some of the power supplies and cooling fans for the unpopulated power domains. If user manual recommendations are not available or are incomplete, then use the following guidance: Completely populate the power domains. If possible, disconnect the power supplies and cooling fans for unpopulated power domains. Fill all empty bays with blanking panels or an equivalent airflow restriction for the duration of testing.</li> <li>Full-chassis population (Optional) Populate all available blade chassis bays. All power supplies and cooling fans shall be connected. Proceed with all required tests in the test procedure as specified in Table 6</li> </ol>
E)	The UUT shall be connected to a live Ethernet (IEEE 802.3) network switch.

	The live connection shall be maintained for the duration of testing, except for brief lapses necessary for transitioning between link speeds.
F)	The Controller System required to provide SERT workload harness control, data acquisition, or other UUT testing support shall be connected to the same network switch as the UUT and satisfy all other UUT network requirements. Both the UUT and Controller System shall be configured to communicate via the network.
G)	The power meter shall be connected to an ac voltage source set to the appropriate voltage and frequency for the test, as specified in Table 4.
H)	The UUT shall be connected to the measurement power outlet on the power meter following the guidelines in Table 4, B).
I)	The data output interface of the power meter and the temperature sensor shall be connected to the appropriate inputs of the Controller System.
J)	It shall be verified that the UUT is configured in its as-shipped configuration.
K)	It shall be verified that the Controller System and UUT are connected on the same internal network via an Ethernet network switch.
L)	Using a normal ping command, It shall be verified that the Controller System and UUT can communicate with each other.
M)	The most current SERT [4] shall be installed on the UUT and the Controller System as specified in the most current SERT User Guide [4]

576

## 577 8 Measurement

### 578 8.1 Measurement for active state

579 The measurement shall be in accordance with Table 6.

580

**Table 6 - Measurement of active state**

A)	The UUT shall be re-booted.
B)	Between 5 and 15 minutes after the completion of initial boot or log in, the most current SERT User Guide [4] shall be followed to engage SERT
C)	All steps outlined in the most current SERT User Guide [4] shall be followed to successfully run SERT There shall be no manual intervention or optimization of the Controller System, UUT, or its internal and external environment during the execution of SERT.
D)	Once SERT is completed, the following output files shall be included with all testing results: <ol style="list-style-type: none"> <li>1. Results.xml</li> <li>2. Results.html</li> <li>3. Results.txt</li> <li>4. All results-chart png files (e.g. results-chart0.png, results-chart1.png, etc.)</li> <li>5. Results-details.html</li> <li>6. Results-details.txt</li> <li>7. All results-details-chart png files (e.g. results-details-chart0.png, results-details-chart1.png, etc.)</li> </ol>

581

### 582 8.2 Measurement for power supply

#### 583 8.2.1 Measurement for internal power supply

584 The measurement shall be in accordance with **Error! Reference source not found.**

585

**Table 7 - Measurement of internal power supply**

A)	For all types of internal power supplies, the efficiency and the power factor shall be measured at 10%, 20 %, 50 % and 100 % of the rated [nameplate] output power
B)	For single-output internal power supplies, the efficiency shall also be measured at 10 % of the rated [nameplate] output power

C)	For single-output internal power supplies with a rated output power greater than 500 W, the power factor shall also be measured at 10 % of the rated [nameplate] output power.
D)	Test setup, test conditions, and measurement instrument specifications shall comply with clause 6.3.
E)	This test procedure assumes that the internal power supply meets following criteria:  Detailed input and output ratings are available on the name plate or in manufacturer's literature, specifying the maximum loads that can safely be placed on each individual d.c output voltage bus and, where necessary, groupings of those voltage busses.  The power supply has connectors that allow the d.c. output voltage busses to be connected and disconnected from the powered product non-destructively.  The power supply can be easily detached from the housing of the product it powers, without causing harm to other circuits and components of the product.
F)	The power supply can be easily detached from the housing of the product it powers, without causing harm to other circuits and components of the product.
G)	In the event the above criteria are not met, a test board (see Measurement for test board power supply) shall be provided to enable testing.
NOTE 1: Such data could already be available from the manufacturer of the power supply; in such cases, the manufacturer could decide to use them. However, where 3 <sup>rd</sup> party test results are used, it is the responsibility of the manufacturer to assess the trustworthiness of the sources.	
NOTE 2: The EPRI/ECOVA Generalized Test Protocol [i.3] is an acceptable basis for providing the required data.	

586

587 **8.2.2 Measurement for test board power supply**588 **8.2.2.1 General**

589 Tests specified in this section shall be made on the power supply of the computer under test, after it has been  
590 disconnected from the powered parts and extracted from the housing. Alternatively, another unit, representative of the  
591 built-in power supply may be used.

592 **8.2.2.2 Test loads**

593 Active loads such as electronic loads or passive loads such as rheostats may be used as d.c test loads. They shall be able  
594 to maintain the required current loading set point for each output voltage within an accuracy of  $\pm 0.5\%$ .

595 **8.2.2.3 Test leads and wiring**

596 Appropriate wires shall be used to avoid excessive overheating and reduce voltage drop across the wires. If  
597 measurements are not taken directly at the connector pins, voltage drop against the additional wires shall be taken into  
598 account.

599 NOTE: If applicable, voltage drop across the input wires will be subtracted from the measured input voltage, and the voltage drop across the output  
600 wires will be added the measured input voltage for the measurement of power efficiency.

601 **8.2.2.4 Warm up time**

602 Whereas internal temperature of the components could impact its efficiency, the power supply under test shall be loaded  
603 up to the test load a period of at least 15 minutes or until the reading over two consecutive five-minute intervals does  
604 not change by more than  $\pm 0.2\%$ .

605 **8.2.2.5 Power measurements**

606 The true RMS wattmeter used to carry out a.c. input power measurements shall meet the requirements of clauses 5.7  
607 and 5.8 of EN 62623:2013 [1]. Input power shall be determined using an averaging technique over a minimum of 32  
608 input cycles utilizing the measurement instrument averaging function.

609 For appliances connected to more than one phase, the power measurement instrument shall be equipped to measure the  
610 total power of all phases connected.

611 d.c. output power measurements shall be made with a suitably calibrated voltmeter and ammeter.

### 612 8.2.2.6 Power Factor (PF) measurement

613 In order to avoid interaction between the a.c. power source and a possible Power Factor Correction (PFC) circuit, a Line  
614 Impedance Stabilization Network (LISN) shall be inserted in series between the power source and the a.c. input of the  
615 power supply under test.

616 **Editors NOTE:** Market surveillance will check if the determined value of the PSU efficiency shall not be lower than the declared value by more than  
617 2% and that the power factor shall not be lower than the declared value by more than 10%.  
618

**Comment [MG11]:** For power factor measurements, one needs to avoid the interactions of the PFC circuit which may render the PF data incorrect. We may need to elaborate on the LISN and the measurement of the PSU's PF.

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## 619 9 Measurement report

620 **Editors NOTE:** What will be listed?

621 The following shall be listed in the measurement report

622 **The server family (product category)**



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623 Annex A: Example of a report (informative)

## 624 Annex B: Additional definitions (normative)

### 625 B.1 Equipment requirements

626 In the present document, the items listed in Table B.1 shall meet the requirements listed.

627 **Table B.1 - Item requirements**

Item	For purposes of this specification, the item must meet all of the listed criteria:
Managed server	is designed to be configured with redundant power supplies contains an installed dedicated management controller (e.g., service processor).
HPC system	Marketed and sold as a computer server optimized for higher performance computing applications; Designed (or assembled) and optimized to execute highly parallel applications; Consist of a number of typically homogeneous computing nodes, clustered primarily to increase computational capability; Includes high speed inter-processing interconnections between nodes.
RAS features	

628

### 629 B.2 Identifying resilient server class

630 Reliability Features: Features that support a server's ability to perform its intended function without interruption due to  
631 component failures (e.g., component selection, temperature and/or voltage de-rating, error detection and correction).

632 Availability Features: Features that support a server's ability to maximize operation at normal capacity for a given  
633 duration of downtime (e.g., redundancy [both at micro- and macro-level]).

634 Serviceability Features: Features that support a server's ability to be serviced without interrupting operation of the  
635 server (e.g., hot plugging).

636 In order to classify as a resilient server all of the features listed in Table B.2 shall be provided.

637 **Table B.2 - RAS requirements**

A)	Processor RAS and Scalability
A.1	Processor RAS: The processor must have capabilities to detect, correct, and contain data errors, as described by all of the following: Error detection on L1 caches, directories and address translation buffers using parity protection; Single bit error correction (or better) using ECC on caches that can contain modified data. Corrected data is delivered to the recipient (i.e., error correction is not used just for background scrubbing); Error recovery and containment by means of (1) processor checkpoint retry and recovery, (2) data poison indication (tagging) and propagation, or (3) both. The mechanisms notify the OS or hypervisor to contain the error within a process or partition, thereby reducing the need for system reboots; and (1) Capable of autonomous error mitigation actions within processor hardware, such as disabling of the failing portions of a cache, (2) support for predictive failure analysis by notifying the OS, hypervisor, or service processor of the location and/or root cause of errors, or (3) both.
A.2	The processor technology used in resilient and scalable servers is designed to provide additional capability and functionality without additional chipsets, enabling them to be designed into systems with 4 or more processor sockets. The processors have additional infrastructure to support extra, built-in processor busses to support the demand of larger systems.
A.3	The server provides high bandwidth I/O interfaces for connecting to external I/O expansion devices or remote I/O without reducing the number of processor sockets that can be connected together. These may be proprietary interfaces or standard interfaces such as PCIe. The high performance I/O controller to support these slots may be embedded within the main processor socket or on the system board.
B	Memory RAS and Scalability
B.1	Provides memory fault detection and recovery through Extended ECC

B.2	In x4 DIMMs, recovery from failure of two adjacent chips in the same rank;
B.3	Memory migration: Failing memory can be proactively de-allocated and data migrated to available memory. This can be implemented at the granularity of DIMMs or logical memory blocks. Alternatively, memory can also be mirrored;
B.4	Uses memory buffers for connection of higher speed processor -memory links to DIMMs attached to lower speed DDR channels. Memory buffer can be a separate, standalone buffer chip which is integrated on the system board, or integrated on custom-built memory cards. The use of the buffer chip is required for extended DIMM support; they allow larger memory capacity due to support for larger capacity DIMMs, more DIMM slots per memory channel, and higher memory bandwidth per memory channel than direct-attached DIMMs. The memory modules may also be custombuilt, with the memory buffers and DRAM chips integrated on the same card;
B.5	Uses resilient links between processors and memory buffers with mechanisms to recover from transient errors on the link; and
B.6	Lane sparing in the processor-memory links. One or more spare lanes are available for lane failover in the event of permanent error.
<b>C.</b>	<b>Power Supply RAS</b>
	All PSUs installed or shipped with the server shall be redundant and concurrently maintainable. The redundant and repairable components may also be housed within a single physical power supply, but must be repairable without requiring the system to be powered down. Support must be present to operate the system in degraded mode when power delivery capability is degraded due to failures in the power supplies or input power loss
<b>D.</b>	<b>Thermal and Cooling RAS</b>
	All active cooling components, such as fans or water-based cooling, shall be redundant and concurrently maintainable. The processor complex must have mechanisms to allow it to be throttled under thermal emergencies. Support must be present to operate the system in degraded mode when thermal emergencies are detected in system components..

638  
639 In order to classify as a resilient server at least six of the features listed in Table B.3 shall be provided.

640 **Table B.3 - System resiliency requirements**

<b>E.</b>	<b>System Resiliency</b>
E.1	Support of redundant storage controllers or redundant path to external storage;
E.2	Redundant service processors;
E.3	Redundant d.c-d.c regulator stages after the power supply outputs
E.4	The server hardware supports runtime processor de-allocation;
E.5	I/O adapters or hard drives are hot-swappable;
E.6	Provides end to end bus error retry on processor to memory or processor to processor interconnects;
E.7	Supports on-line expansion/retraction of hardware resources without the need for operating system reboot ("on-demand" features);
E.8	Processor Socket migration: With hypervisor and/or OS assistance, tasks executing on a processor socket can be migrated to another processor socket without the need for the system to be restarted;
E.9	Memory patrol or background scrubbing is enabled for proactive detection and correction of errors to reduce the likelihood of uncorrectable errors; and
E.10	Internal storage resiliency: Resilient systems have some form of RAID hardware in the base configuration, either through support on the system board or a dedicated slot for a RAID controller card for support of the server's internal drives. F. System Scalability – All of the following shall be present in the server:
E.11	Higher memory capacity: >=8 DDR3 or DDR4 DIMM Ports per socket, with resilient links between the processor socket and memory buffers; and
E.12	Greater I/O expandability: Larger base I/O infrastructure and support a higher number of I/O slots. Provide at least 32 dedicated PCIe Gen 2 lanes or equivalent I/O bandwidth, with at least one x16 slot or other dedicated interface to support external PCIe, proprietary I/O interface or other industry standard I/O interface

641

642 **B.4 Unused definitions (temporary)**

643 **auxiliary processing accelerator:** unit installed as a card or integrated to the system (e.g. GPGPUs added to the  
644 system)

645 **direct current server:** computer server that is designed solely to operate on a direct current (d.c.) power source

646 **managed server:** computer server that is designed for a high level of availability in a highly managed environment

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647 Annex C: **Creating a single metric (normative)**

648 C.1 Weightings - a justification

649 60/35/5

650 Geomeans

**Comment [MG12]:** Text to be developed

651 C.2 Scaling of idle power limits

652 Memory, drives, additional features and increased performance capacity

**Comment [MG13]:** Text to be developed

## 653 Annex D: Deployed Power Assessment (informative)

### 654 D.1 Overview of the Deployed Power Analysis Methodology for 655 validation of a proposed server efficiency metric

656 Editors NOTE: This is the validation procedure for any metric targeted for an ICT area with more than 1 server. This applies to clause 5 and Annex  
657 C. Without this common method, the value or appropriateness of a metric is too subjective.

658 In order to evaluate potential metrics, a series of assessments to the relative power impact of selecting the server offers a  
659 definitive confirmation of a metric's ability to predict efficiency of the server as deployed in the ICT site. The  
660 assessment comprises a graphical correlation and rank comparison between the power impact of a number of servers  
661 deployed to execute a defined workload versus the aggregated efficiency metric for the server. The selection of servers  
662 mimics the provisioning method used by IT professionals when determining the data processing needs of that ICT site.

663 The Deployed Power assessment is based on determining the ability of provisioning a set of servers for a targeted  
664 workload that results in a minimum expenditure of energy at the ICT site, across the various utilization levels. The  
665 metric validation is to ensure that a better efficiency score will result in a lower deployed server power demand to  
666 execute that work in an ICT site. The use of a deployed power calculation enables differentiation between the  
667 effectiveness of a low performance, low power server and a high performance, high power server, as it enables an  
668 assessment of the number of servers and their associated energy use required to deliver a given workload in an ICT site  
669 or office environment.

670 To calculate the number of servers needed to perform a workload and their associated deployed power, it is necessary to  
671 select a workload level to use to calculate the number of deployed servers.

- 672 1) Target workload should represent the maximum composite of the work targeted for the ICT site. Based on actual  
673 workloads deployed in ICT sites, the weights used are 65% CPU, 30% Memory and 5% Storage.
- 674 2) A value of 100 \* the maximum performance value of the group of tested servers defines the target workload  
675 performance level for the evaluation. This value is large enough avoid quantization effects.
- 676 3) As servers execute a diverse range of workloads, three assessment workloads were selected: a CPU intensive  
677 workload, a memory intensive workload and a weighted workload which mimics the workload weighting of the  
678 combined metric being assessed.
- 679 4) Additionally, the following power/utilization/workload types to be used in our comparison analysis. The intent is to  
680 assess a combination of workload types and power consumption levels that a set of servers will experience in an  
681 operating environment:
- 682 a) idle power as measured by the SERT tool;
  - 683 b) geomean of the power for all workloads at the 25% utilization (light workload);
  - 684 c) geomean of the power for all workloads at the 50% utilization (medium workload);
  - 685 d) geomean of the power for all workloads at the 100% utilization (heavy workload);
  - 686 e) weighted deployed power using the metric weighting;
  - 687 f) weighted deployed power using the CPU intensive workload weightings (85% CPU, 15% memory);
  - 688 g) weighted deployed power using the Memory intensive workload weightings (40% CPU, 60% memory).

689 Multiple workloads and power use scenarios were assessed to validate that the combined metric is balanced and  
690 representative of efficiency across the range of workloads that servers are expected to perform and to avoid the  
691 assertion that use of a single power/workload/utilization might be biased to a particular outcome.

## 692 D.2 Determining the number of deployed servers

### 693 D.2.1 General

694 Any attempt at a single efficiency metric based upon the SERT tool must use some method to combine the individual  
695 worklet values to create a single value. The aggregation used to determine a single value is with the geomean  
696 combinatory method and the designated component (CPU, memory and storage) weightings to calculate the number of  
697 servers required.

698 In order to determine the number of servers required for any given server model one must determine both a performance  
 699 target for the dataset and a performance capability for each individual server. The individual worklet performance  
 700 values reported in the SERT tool are combined to determine the number of servers required to meet the performance  
 701 target.

## 702 D.2.1 Establishing target performance

703 In order to minimize quantization issues, since deployed power is based on an integral number of servers, a 100 times  
 704 the maximum performance of the highest performance server in the data set for the performance target,

705 The number of servers required to meet a desired performance level is calculated according to Equation B.1.

$$Deployed_{QTY_n} = Roundup \left( \frac{100 \times Max(Perf_{AllServers})}{Perf_n} \right) \quad \text{Equation D.1}$$

706 where:

707  $Perf_{max_{AllServers}}$  = the performance values for all servers in the data set

708  $Perf_{max_n}$  = the maximum performance of server n.

## 709 D.2.1 Weighting factors

710 A weighted geomean (CPU 60 %: Memory 35 %: Storage 5 %) of performance, designated as the weighted  
 711 performance maximum, is used.

712 Equation D.2 aggregates the geomean of the normalized maximum performance values to obtain a single maximum  
 713 performance number for each individual server. The weighted maximum performance number represents the  
 714 maximum capability of the server and what would be used to provision an ICT site.

$$Perf_{max_{weighted}} = \exp \left[ 0,6 \times \ln(Perf_{max_{CPU}}) + 0,35 \times \ln(Perf_{max_{Memory}}) + 0,05 \times \ln(Perf_{max_{Storage}}) \right] \quad \text{Equation D.2}$$

715 where:

$$Perf_{max_{CPU}} = \left( \prod_{i=1}^7 Perf_{max_i} \right)^{1/7} \quad \text{Equation D.3}$$

716 where i the CPU efficiency worklet and is for worklet<sub>Compress</sub>, 2 for worklet<sub>LU</sub>, 3 for worklet<sub>SOR</sub>, 4 for  
 717 worklet<sub>Crypto</sub>, 5 for worklet<sub>Sort</sub>, 6 for worklet<sub>SHA256</sub> and 7 for worklet<sub>Hybrid SSJ</sub>

$$Perf_{max_{Memory}} = \left( \prod_{i=1}^2 Perf_{max_i} \right)^{1/2} \quad \text{Equation D.4}$$

718 where i the memory efficiency worklet and is 1 for worklet<sub>Flood3</sub> and 2 for worklet<sub>Capacity3</sub>

719

$$Perf_{max_{Storage}} = \left( \prod_{i=1}^2 Perf_{max_i} \right)^{1/2} \quad \text{Equation D.5}$$

720 where i the storage efficiency worklet and is 1 for worklet<sub>Sequential</sub> and 2 for worklet<sub>Random</sub>

721  $Perf_{max_{worklet}}$  represents the maximum performance score from the SERT data base.

722  $Perf_{max_{weighted}}$  represents the newly calculated weighted maximum performance

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## 723 Annex E: Exclusions (informative)

724 The present document is not applicable to:

- 725 • fully fault tolerant servers;
- 726 • HPC systems;
- 727 • hyper-converged servers;
- 728 • large servers;
- 729 • network equipment;
- 730 • network servers;
- 731 • server appliances;
- 732 • storage products including blade storage;
- 733 • storage servers.

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## 734 Other annexes (as required)

- 735 Power Supply Evaluation methods- (include PFC)
- 736 Max\_power under application (put in Annex ?)
- 737 Idle power for regulation (inappropriate)
- 738 ASHRAE description on A2, A3, A4? (need to compare EN300 019 xxxx vs. ASHRAE) desire to match ASHRAE but,  
739 be able to reference EN300 019)
- 740 TGG updated review of power quality and ITI's CBEMA curves
- 741 Market surveillance
- 742

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- 765 TGG, TGG/SPEC creating a single value metric {placeholder for real title}
- 766



767

## History

<b>Document history</b>		
<Version>	<Date>	<Milestone>
V0.0.1	04/10/2016	First draft
V0.0.2	08/02/2017	After face to face meeting
V0.0.3	08/11/2016	Working draft V.0.0.2 with correct format etc).
V0.0.4	30/04/2017	Working draft following comment process

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