



# Indo-European dialogue on ICT standards & Emerging Technologies

*(Growth, Profitability & Nation Building)*  
13-14th March 2014 • New Delhi, INDIA

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## IMPROVING RADIO SPECTRUM EFFICIENCY

Presented by Jamshid Khun-Jush: Senior Director, Qualcomm

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# Presentation Outline

- ❖ Basics of Reconfigurable Radio Systems
- ❖ 1000x Spectrum Challenge: Authorized Shared Access
- ❖ TV UHF Band: Current Status and Future
- ❖ ANNEX 1: RRS Regulations and Standards in Europe
- ❖ ANNEX 2: ASA Details



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# Basics of Reconfigurable Radio Systems



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# Reconfigurable Radio Systems: Basics - 1

- ❖ Reconfigurable Radio Systems (RRS)
  - ❖ Generic term for radio systems encompassing Software Defined Radio (SDR) and/or Cognitive Radio (CR)
  - ❖ Licensed Shared Access (LSA) is addressed in Europe as RRS, **although it is neither SDR nor CR**
- ❖ Software Defined Radio:
  - ❖ RF operating parameters including, but not limited to, frequency range, modulation type, or output power can be **set or altered by software**, and/or **the technique** by which this is achieved
  - ❖ Multi-mode/band 3G/4G systems already provide several such features



# Reconfigurable Radio Systems: Basics - 2

- ❖ Cognitive Radio System has following capabilities
  - ❖ To obtain the knowledge of radio operational environment & established policies and to monitor usage patterns & users' needs
  - ❖ To dynamically and autonomously adjust its operational parameters and protocols according to the obtained knowledge in order to achieve predefined objectives, e.g. **more efficient utilization of spectrum**
    - ❖ Current mobile systems **already** possess such capability
  - ❖ To learn from the results of its actions in order to further improve its performance



# 1000x Spectrum Challenge: Authorized Shared Access



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# Mobile data growth— 1000x challenge

❖ Exponential wireless data growth in the past decade

preparing for  
**1000x**  
data traffic growth\*\*

global data traffic growth  
**~2x**  
from 2010- 2011\*



# Means to meet 1000x mobile data growth - 1

- ❖ More spectrum allocation (higher bps)
  - ❖ Flexible bandwidth, spectrum aggregation, supplementary downlink,
- ❖ Improved spectral efficiency (higher bps/Hz)
  - ❖ Air interface evolution (different MIMO versions, high level modulation), interference management/Self Organizing Networks
- ❖ Network densification / Intelligent access to 3G/4G/RLAN (higher bps/Hz/km<sup>2</sup>)
  - ❖ HetNet, small cells – everywhere





# Means to meet 1000x mobile data growth - 2

- ❖ We are heading towards even faster growth in wireless data consumption in the next decade
- ❖ Key measures to meet 1000x mobile data growth
  - ❖ Network densification and spectrum allocation
- ❖ Assuming network densification and improved spectral efficiency cater for ~100x data growth
  - ❖ Need for ~10x more spectrum
    - ❖ An approach only based on clearing spectrum for exclusive use, most likely very difficult even within a reasonable time frame
    - ❖ Need for multiple efforts to get access to spectrum



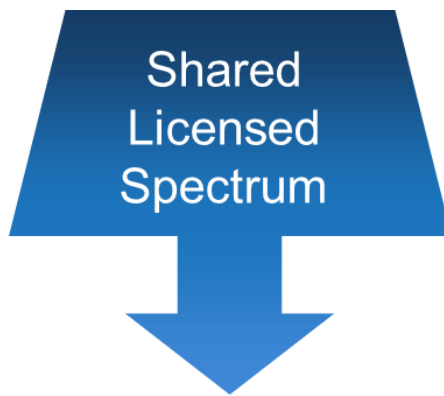
# Multiple Efforts to Access more Spectrum



Exclusive Use  
3G/4G

Most efficient use of spectrum for mobile broadband

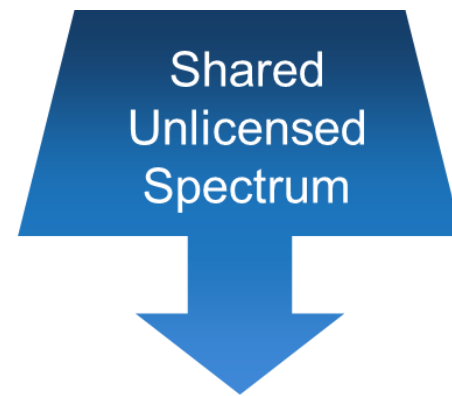
Industry's Top Priority for Quality of Service, mobility and control



Authorized Shared Access Use  
Complementary for 3G/4G

Unlocks licensed spectrum for partially occupied government bands

Enable use of encumbered spectrum with predictable QoS when and where not used by incumbent



Unlicensed Shared Use  
WiFi, BT, LTE-U & others

Unpredictable QoS, ideal for local area access and opportunistic traffic offload

Maximize unlicensed spectrum benefits, where possible



# Network deployment in ASA model

## Exclusive use

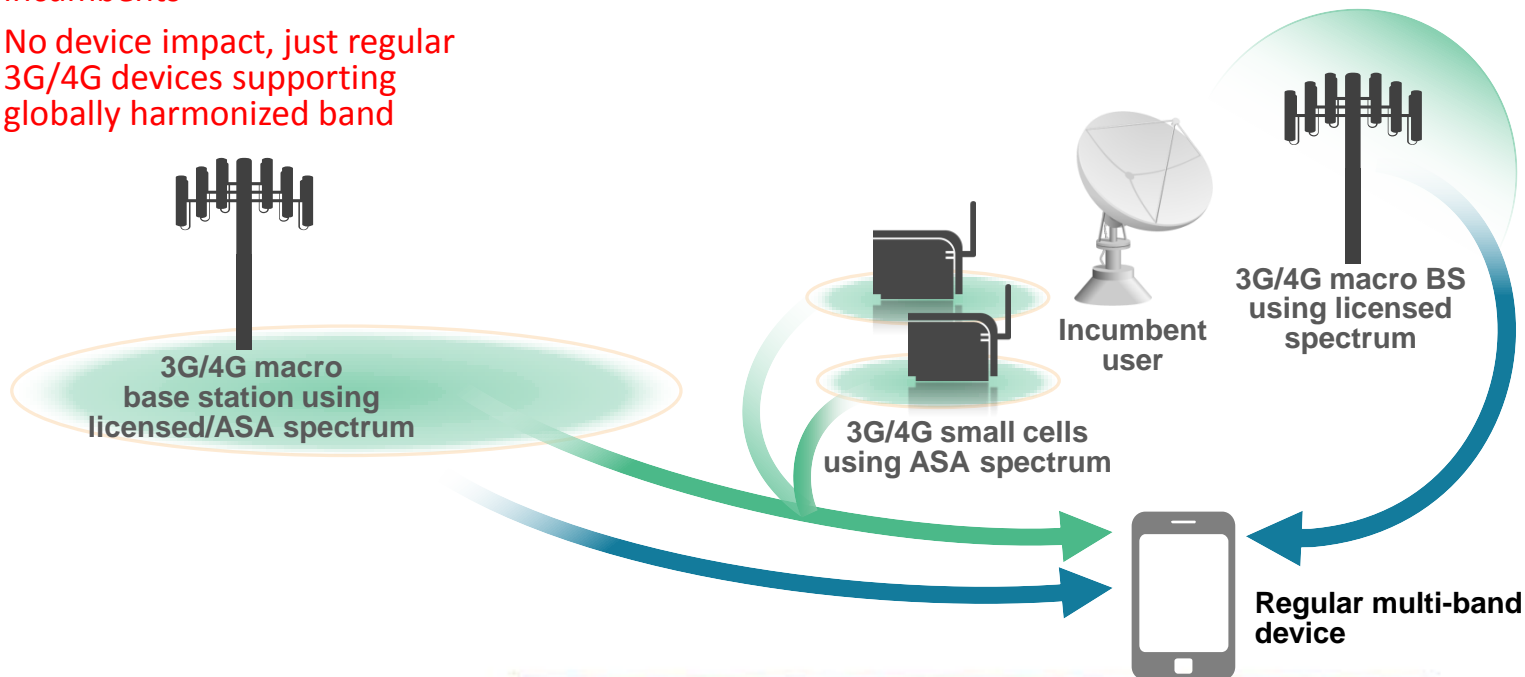
- At given locations/times ensures predictability for long-term investments
- LSA target bands:
  - Already globally allocated to IMT but not cleared due to incumbents
  - No device impact, just regular 3G/4G devices supporting globally harmonized band

## Protect incumbents

- Binary use: either incumbent or operator
- Protection zones required
  - The higher frequency range, the smaller protection distance

## Optimal for small cells

- Small cells can be closer to incumbent than macros
  - Higher frequency ranges optimal targets for ASA, e.g. 2.3 GHz, 3.5 GHz



# TV UHF Band: Current Status and Future



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# “TV UHF” frequency band in CEPT - 1

- ❖ Frequency range 470-862 MHz ideal for rural area coverage
  - ❖ Frequency range 790 – 862 MHz has been already cleared for IMT
  - ❖ Frequency range 694 – 790 MHz is about to be cleared for IMT
    - ❖ 698 – 806 MHz is designated by AWG for IMT/mobile (LTE Band 28)
- ❖ Future evolution of mobile and TV terrestrial broadcasting
  - ❖ Broadcast community currently considers defining a “world standard”
  - ❖ A big portion of 1000x mobile data growth will be TV & video content
  - ❖ Unclear if the two communities could bring about a closer alignment between the two services
- ❖ Future use of TV spectrum depends on this evolution
  - ❖ I.e. anew spectrum division between TV and mobile or Joint spectrum use by them



# “TV UHF” frequency band in CEPT - 2

- ❖ CEPT has published several reports on the operation of Cognitive Radio Systems in the “White Spaces” of the Frequency Band 470-790 MHz (see ANNEX 1)
  - ❖ Besides in the UK, the reports didn’t get any considerable traction
  - ❖ Even in the UK, the industry divided on CRSs in TV WS
- ❖ The main reason
  - ❖ Drawbacks of unlicensed operation of CRS in TV band WSs



# Unlicensed operation of CRS in TV band WSs - 1

## ❖ Main drawbacks

### ❖ From a “frequency engineering” perspective

- ❖ Need for **very big protection zones** to manage the interference resulting in inefficient frequency reuse
- ❖ To avoid this disadvantage, the **transmit power needs to be considerably limited (short range communications)** which fully contradicts to the advantage of using lower frequencies
- ❖ **Uncontrolled interference** results in low level QoS guarantees and hence in **low incentives** for MNOs to make long term investments



# Unlicensed operation of CRS in TV band WSs - 2

## ❖ Main drawbacks (cont'd)

### ❖ From a “frequency management” perspective

- ❖ Different CEPT countries have different TV WSs fostering **fragmented** use of this valuable frequency in CEPT to disadvantage of **harmonised** use
- ❖ This will have adverse effects in terms of **global harmonised use** of this band and **global roaming** of mobile devices

### ❖ From a “frequency need” perspective:

- ❖ Given enormous mobile data grow consisting of TV and video content, the need for **dedicated spectrum** for mobile will dramatically increase
- ❖ Especially lower frequency ranges very valuable to master digital divide between rural and urban areas, particularly in **developing countries**
- ❖ Unlicensed use of this spectrum essentially **devalues** it





# Thank You



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# ANNEX 1: RRS Regulations and Standards in Europe



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# RRS at ETSI - 1

- ❖ Technical Committee RRS responsible for developing standards for radio systems addressing SDR and CR
  - ❖ The work mainly focused on CR
    - ❖ Several Technical Specifications / Reports have been developed
  - ❖ CR systems mainly restricted to White Space Devices (WSD) in the TV UHF band 470-790 MHz (TV WSD)
  - ❖ Technical Committee BRAN developed a Harmonised Standard for TV WSD, currently under national voting
    - ❖ Very limited interest, driven only by the UK, although controversial even there



# RRS at ETSI - 2

- ❖ TC RSS added Licensed Shared Access to its scope
  - ❖ A System Reference Document developed as input to CEPT
    - ❖ Outlining market perspectives, main technical characteristics, high-level system architecture and required regulatory changes
    - ❖ Currently limited to 2300-2400 MHz frequency band
  - ❖ Development of a Technical Specification on detailed technical and operational requirements in the final phase
  - ❖ The work on a Technical Specification for defining detailed architecture and required interfaces has just started



# RRS in Europe: EC Mandate

- ❖ EC issued Mandate M/512 on RRS with 3 Objectives to ESOs (European Standards Organizations) in 2013
  - ❖ ETSI as the main relevant ESO for this mandate accepted M/512 and selected TC RRS as the responsible body
    - ❖ Objective A: for the **Commercial Domain** to enable the deployment and operation of **CRS including WSD and devices under LSA\***
    - ❖ Objective B: for the **Civil Security and Military Domain** to ensure the standardization of suitable SDR architecture(s) (on hold)
    - ❖ Objective C: to explore potential areas of **synergy** among commercial, civil security and military applications

\*It is a misconception, since LSA devices don't use any CR feature and are just regular 3G/4G devices supporting bands target for LSA



# RRS in Europe: CEPT deliverables on TV WSD

- ❖ CEPT published the ECC Report 159 in January 2011
  - ❖ Technical and Operational Requirements for the Possible Operation of Cognitive Radio Systems in the “White Spaces” of the Frequency Band 470-790 MHz
- ❖ CEPT subsequently developed ECC Reports 185 and 186 as complementary studies to ECC Report 159 in January 2013
  - ❖ In particular, the geo-location approach is considered in more details in ECC Report 186
- ❖ Besides the UK, no other CEPT country took any action based on these reports/studies
  - ❖ Even in the UK industry divided on the TV WSD concept



# RRS in Europe: CEPT deliverables on LSA

- ❖ CEPT approved the publication of ECC Report 205 on LSA
  - ❖ The Report defines LSA and provides guidelines to CEPT administrations on how to implement it.
- ❖ CEPT released for public consultation the draft ECC Decision on harmonised technical and regulatory conditions for the use of the band 2300-2400 MHz
  - ❖ The Decision recognizes LSA as THE CEPT regulatory provisions for administrations wishing to maintain current incumbent use and to ensure the long term use of the band by incumbent
  - ❖ In some countries, e.g. France, the administrations have started with the definition of details for regulatory provisions to implement LSA



# ANNEX 2: LSA Details



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# *ASA: A new framework to unlock spectrum for 1000x capacity in mobile broadband*

Jamshid Khun-Jush: Senior Director, Qualcomm  
Helsinki-Finland, September 3, 2013



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Industry Organizations

Presentation to ASA/LSA workshop  
Organized by Tekes' Trial-Programme and COST-TERRA

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