



World Class Standards

3GPP security hot topics: LTE/SAE and Home (e)NB

Valteri Niemi

3GPP SA3 (Security) chairman
Nokia Research Center, Lausanne, Switzerland

Marc Blommaert

3GPP LTE/SAE security rapporteur
Devoteam Telecom & Media, Herentals, Belgium

Outline

- Some history and background
- SAE/LTE security: some highlights
- Home (e)NodeB security
- Summary

A dark blue world map is centered in the background of the slide, showing the continents in a lighter shade of blue.

Some history and background

Some history (1/2)

- ❑ For 3GPP Release 99 (frozen 2000), WG SA3 created **19** new specifications, e.g.
 - TS 33.102 “3G security; Security architecture”
 - 5 specifications (out of these 19) originated by ETSI SAGE, e.g. TS 35.202 “KASUMI specification”
- ❑ For Release 4 (frozen 2001), SA3 was kept busy with GERAN security while ETSI SAGE originated again **5** new specifications, e.g.
 - TS 35.205-208 for MILENAGE algorithm set
- ❑ Release 5 (frozen 2002): SA3 added **3** new specifications, e.g.:
 - TS 33.203 “IMS security”
 - TS 33.210 “Network domain security: IP layer”

Some history (2/2)

- ❑ Release 6 (frozen 2005): SA3 added **17** new specifications, e.g.:
 - TS 33.246 “Security of MBMS”
 - TS 33.220-222 “Generic Authentication Architecture”
- ❑ Release 7 (frozen 2007): SA3 added **13** new specifications
 - ETSI SAGE created 5 specifications for UEA2 & UIA2 (incl. SNOW 3G spec) (TS 35.215-218, TR 35.919)
- ❑ Release 8 (frozen 2008): SA3 has added **5** new specifications, e.g.:
 - TS 33.401 “SAE: Security architecture”
 - TS 33.402 “SAE: Security with non-3GPP accesses”
 - (1-2 more TR’s maybe still be included in Rel-8)



World Class Standards

SAE/LTE security: some highlights

SAE/LTE: What and why?

SAE = System Architecture Evolution

LTE = Long Term Evolution (of radio networks)

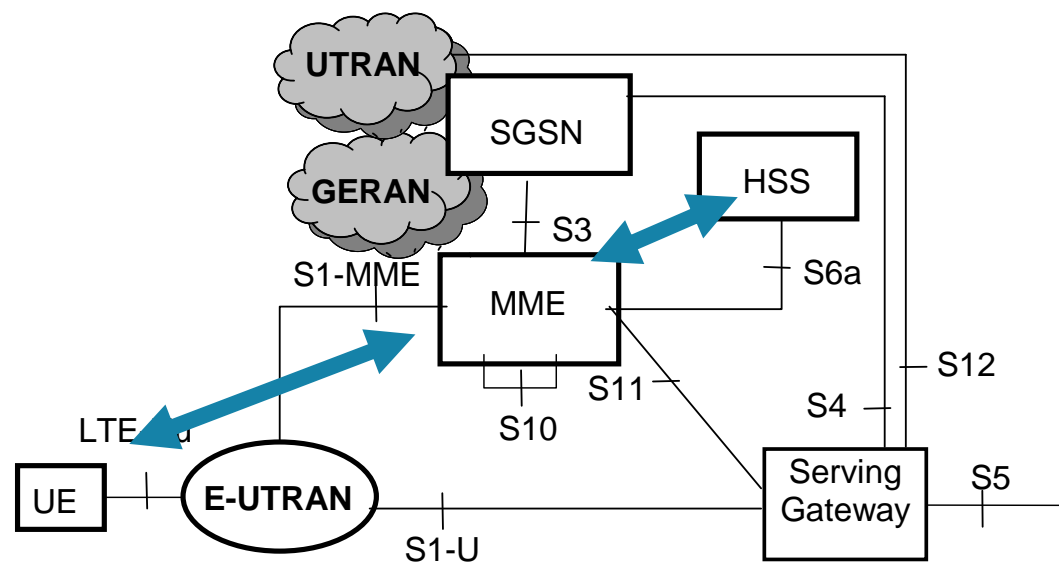
- LTE offers higher data rates, up to 100 Mb/sec
- SAE offers optimized (flat) IP-based architecture

- Technical terms:
 - **E-UTRAN = Evolved UTRAN (LTE radio network)**
 - **EPC = Evolved Packet Core (SAE core network)**
 - **EPS = Evolved Packet System (= RAN + EPC)**

Implications on security

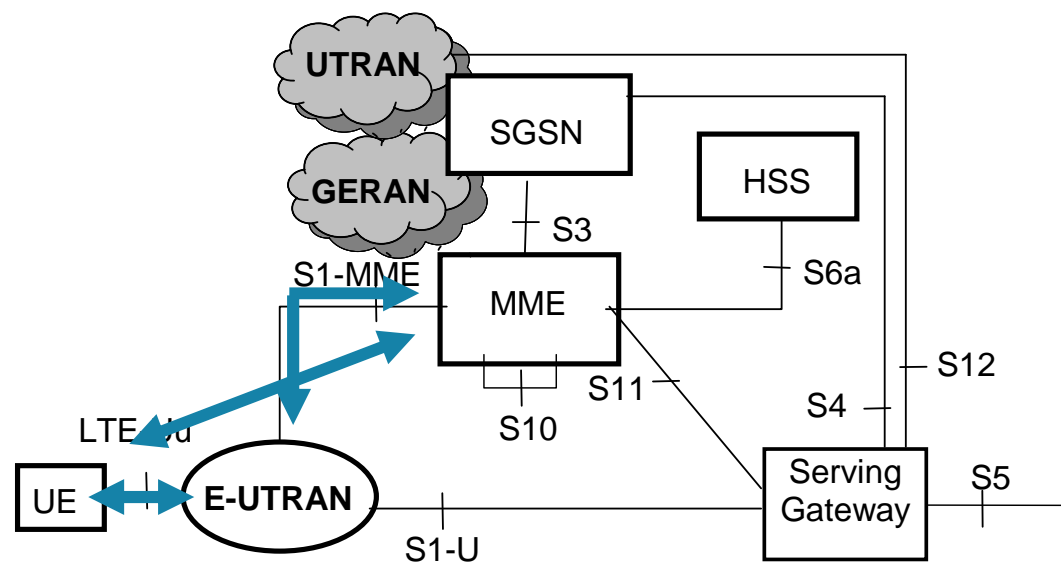
- ❑ **Flat architecture:**
 - All radio access protocols terminate in one node: eNB
 - IP protocols also visible in eNB
- ❑ **Security implications due to**
 - Architectural design decisions
 - Interworking with legacy and non-3GPP networks
 - Allowing eNB placement in untrusted locations
 - New business environments with less trusted networks involved
 - Trying to keep security breaches as local as possible
- ❑ **As a result (when compared to UTRAN/GERAN):**
 - Extended Authentication and Key Agreement
 - More complex key hierarchy
 - More complex interworking security
 - Additional security for eNB (compared to NB/BTS/RNC)

Authentication and key agreement



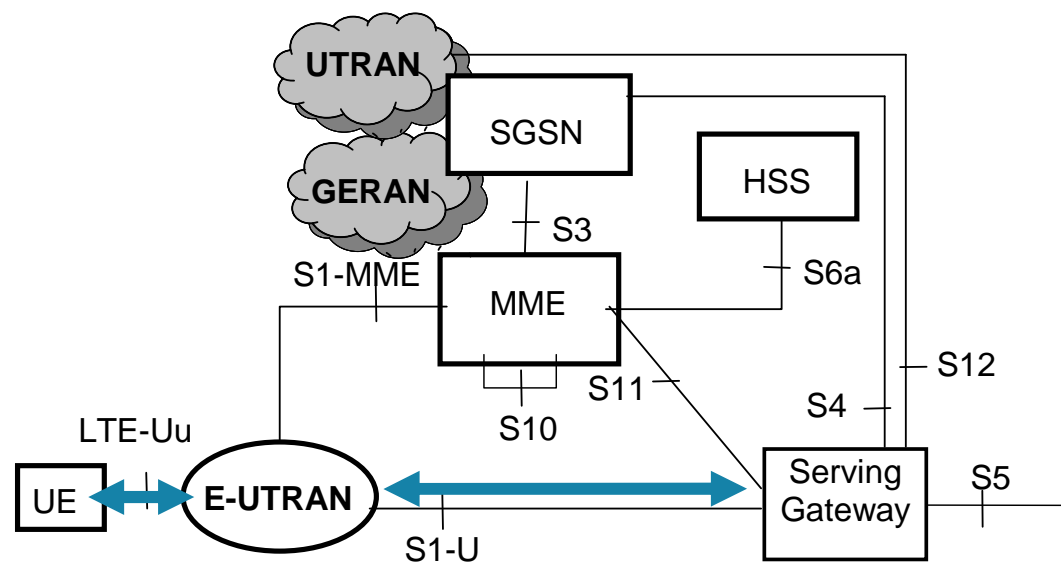
- HSS generates authentication data and provides it to MME
- Challenge-response authentication and key agreement procedure between MME and UE

Confidentiality and integrity of signalling



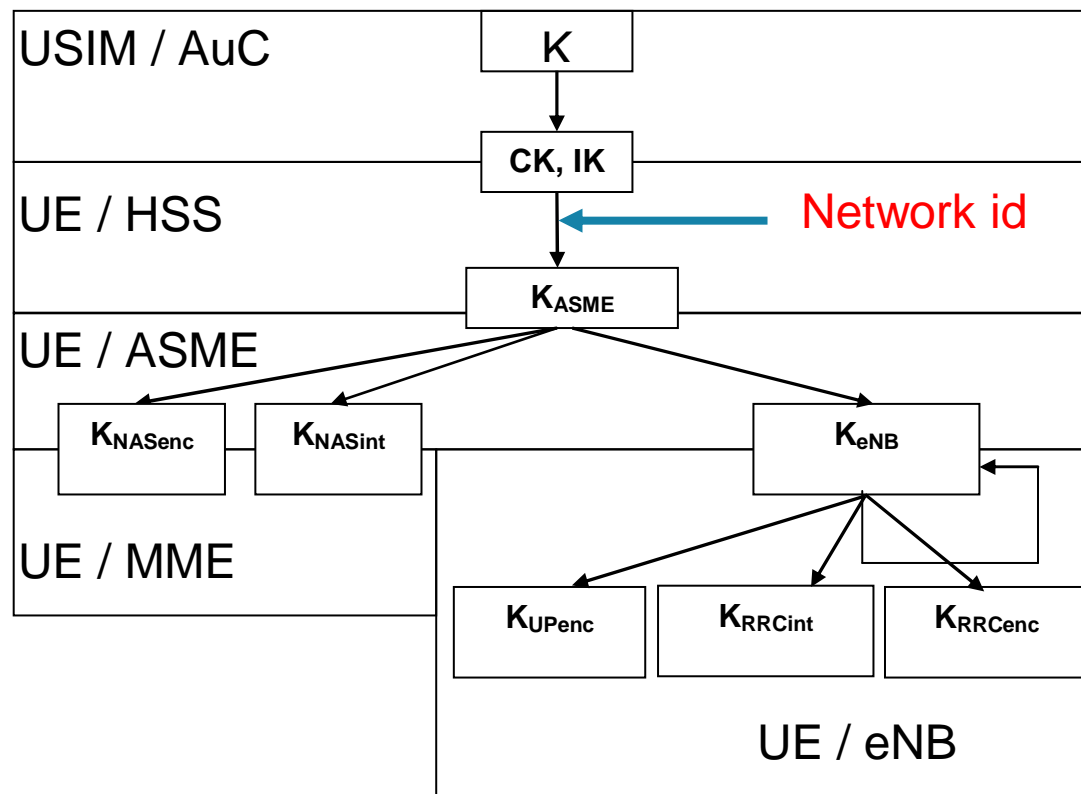
- RRC signalling between UE and E-UTRAN
- NAS signalling between UE and MME
- S1 interface signalling
 - protection is not UE-specific
 - optional to use

User plane confidentiality



- ❑ **S1-U protection is not UE-specific**
 - (Enhanced) network domain security mechanisms (based on IPsec)
 - Optional to use
- ❑ **Integrity is not protected for various reasons, e.g.:**
 - performance
 - limited protection for application layer

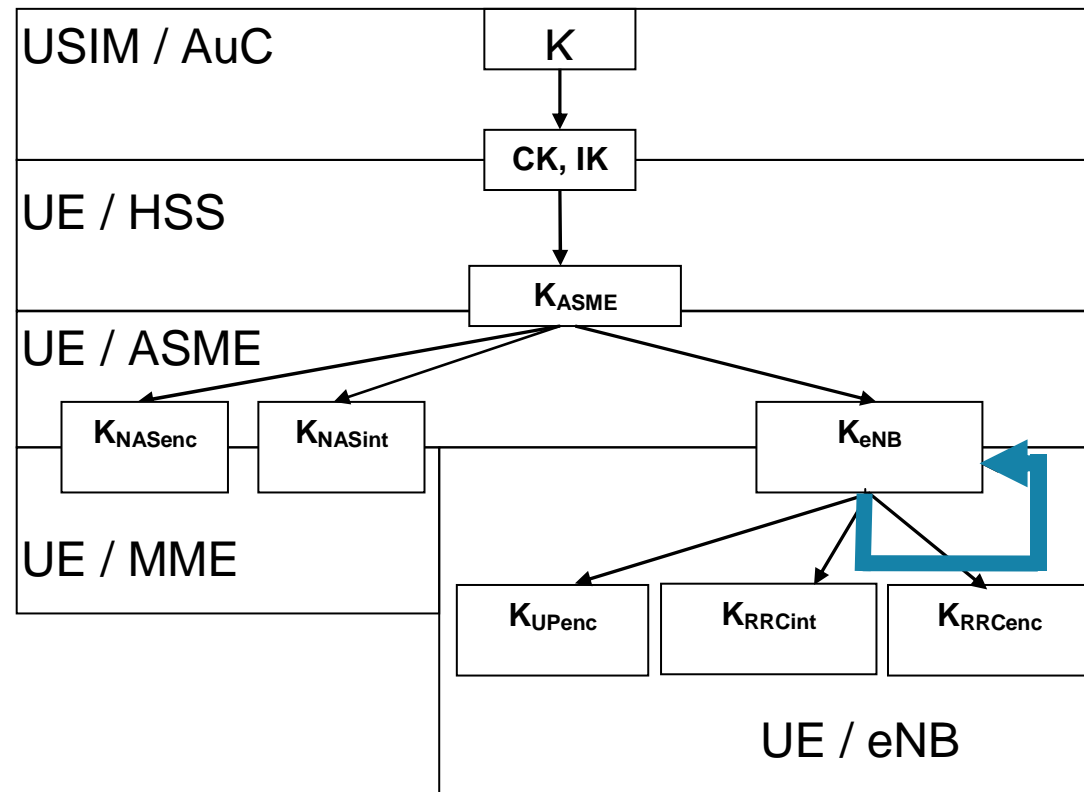
Cryptographic network separation (1/2)



Cryptographic network separation (2/2)

- ❑ Authentication vectors are specific to the serving network
 - AV's usable in UTRAN/GERAN cannot be used in EPS
- ❑ AV's usable for UTRAN/GERAN access cannot be used for E-UTRAN access
 - Solution by a “**separation bit**” in AMF field
- ❑ On the other hand, Rel-99 USIM is sufficient for EPS access
 - ME has to check the “separation bit” (when accessing E-UTRAN)
- ❑ As one consequence, “EAP-AKA’ “ was created in IETF

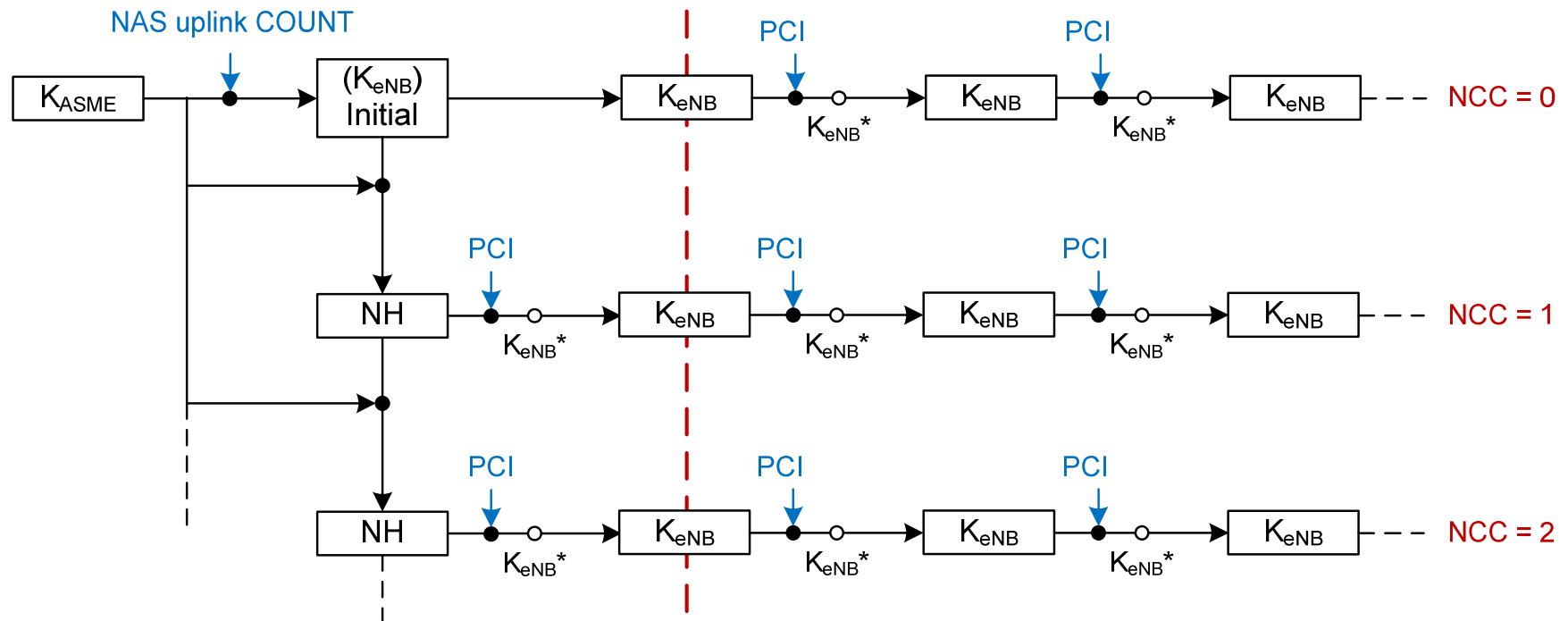
Handovers without MME involvement (1/2)



Handovers without MME involvement (2/2)

- ❑ Handovers are possible directly between eNB's for performance reasons
- ❑ If keys would be passed as such, all eNB's in a "HO chain" would know all the keys → one compromised eNB would compromise all eNB's in the "HO chain"
- ❑ Countermeasures:
 - One-way function used before key is passed (**Backward security**)
 - MME is involved after the HO for further key passes (**Forward security**, effective after two hops)
 - When MME involved already during the HO, Forward security is effective already after one hop

K_{eNB} derivations



Interworking with UTRAN/GERAN (1/2)

- UE may be registered in both SGSN and MME simultaneously
 - when moving from one system (*source*) to the other (*target*)
both
cached keys (created earlier in the *target* system)
and
mapped keys (converted from the keys in the *source* system)
may exist
 - Note: cached keys only for Rel-8 SGSN, not for legacy SGSN

Interworking with UTRAN/GERAN (2/2)

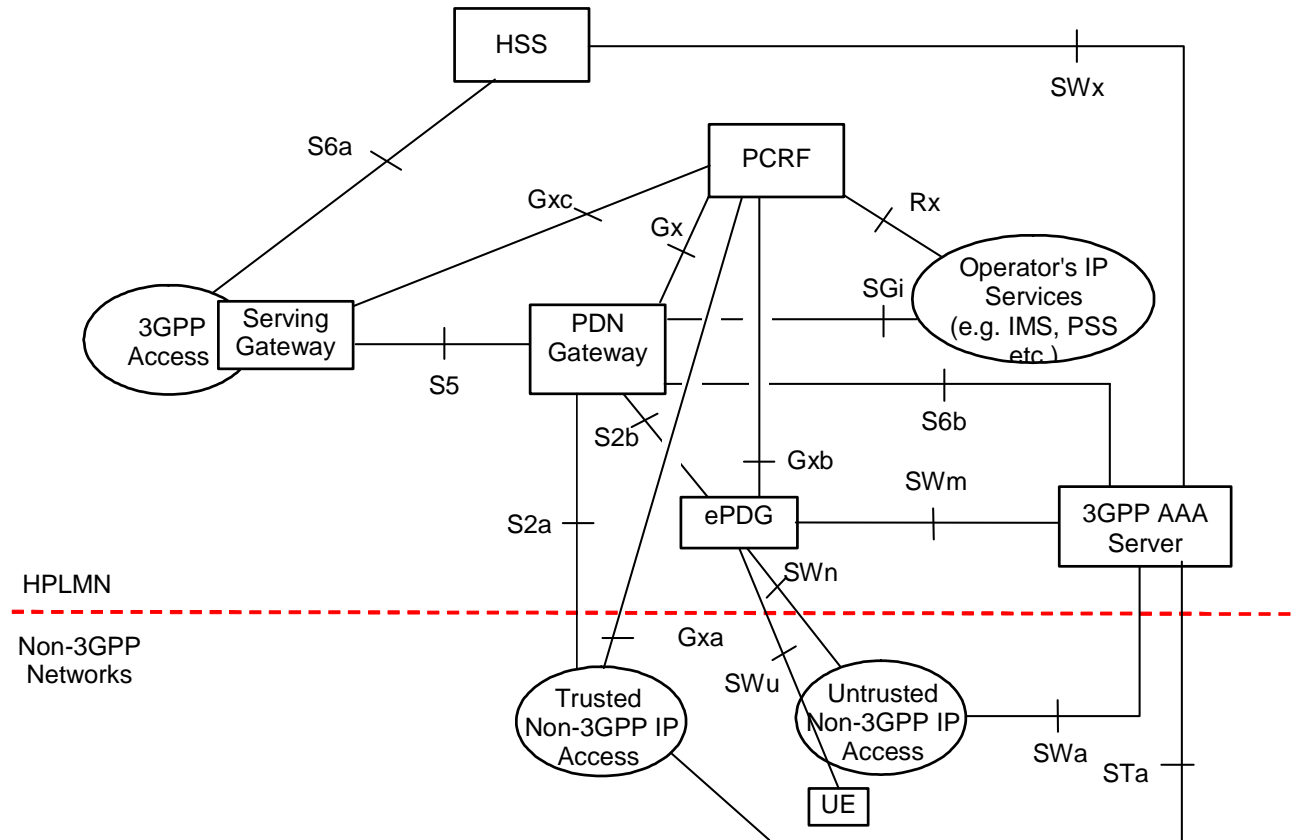
❑ Idle mode transition

- From E-UTRAN to UTRAN: either *mapped* or *cached* keys are used (depending on the identity used in *Routing Area Update Request*)
- From UTRAN to E-UTRAN: *cached* keys are used *but* an exceptional case exists also

❑ Handover

- From E-UTRAN to UTRAN: *mapped* keys are used
- From UTRAN to E-UTRAN: *mapped* keys are used *but* it is possible to activate the *cached* keys after HO completed (using *key-change-on-the-fly* procedure)

Inter-working with non-3GPP networks (1/2)



Extract from TS 23.402 (one of several architecture figures)

Inter-working with non-3GPP networks (2/2)

- ❑ **Three options for mobility between 3GPP and non-3GPP networks:**
 - **Proxy Mobile IP: no user-specific security associations between the Proxy and Home Agent**
 - **Client MIPv4: tailor-made security mechanisms are used**
 - **Dual Stack MIPv6: IPsec with IKEv2 is used between UE and HA**
- ❑ **IPsec tunnel (with evolved Packet Data Gateway) is used in case the non-3GPP network is untrusted by the operator (of EPS network)**
- ❑ **Authentication is run by EAP-AKA or EAP-AKA' procedures, in both cases based on USIM**



World Class Standards

Home (e) Node B security

4th ETSI Security Workshop - Sophia-Antipolis, 13-14 January 2009

Home (e)NB architecture

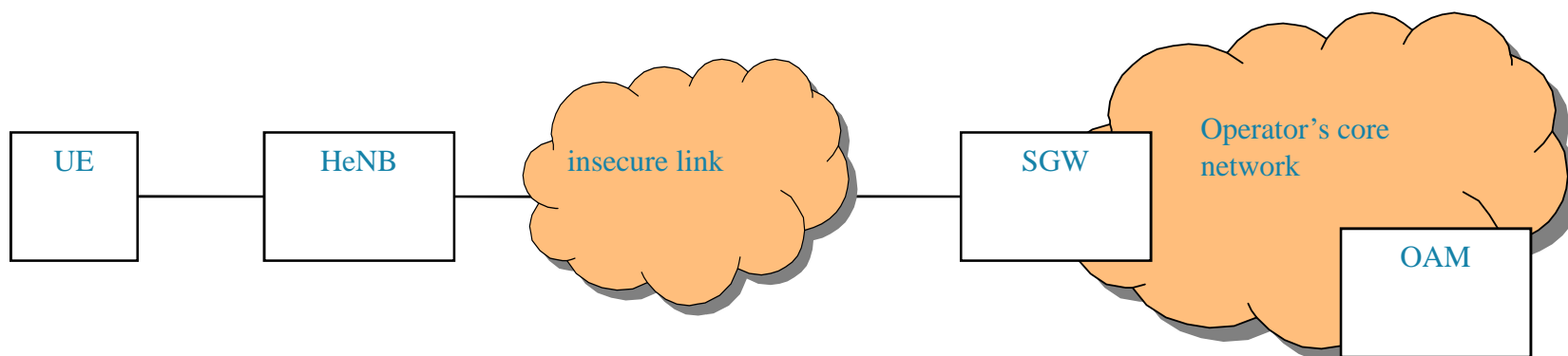


Figure from draft TR 33.820

One of the key concepts: *Closed Subscriber Group*

Note: Rest of the talk: Home (e)NB denoted by HeNB

Threats

- ❑ **Compromise of HeNB credentials**
 - e.g. cloning of credentials
- ❑ **Physical attacks on HeNB**
 - e.g. physical tampering
- ❑ **Configuration attacks on HeNB**
 - e.g. fraudulent software updates
- ❑ **Protocol attacks on HeNB**
 - e.g. man-in-the-middle attacks
- ❑ **Attacks against the core network**
 - e.g. Denial of service
- ❑ **Attacks against user data and identity privacy**
 - e.g. by eavesdropping
- ❑ **Attacks against radio resources and management**

Several sources of Security Requirements

- ❑ (Additional) requirements for eNB due to SAE/LTE security architecture (TS 33.401)
- ❑ Requirements stemming from threats due to home placement (TR 33.820)
- ❑ Requirements due to *Closed Subscriber Group* concept

Countermeasures

- ❑ Mutual authentication between the HeNB and the (rest of) network
- ❑ Security tunnel establishment for backhaul link
- ❑ *Trusted Environment* inside HeNB
 - e.g. secure execution
- ❑ Access Control mechanisms (for Closed Subscriber Groups)
- ❑ Security mechanisms for OAM
- ❑ *Hosting party* authentication (if used) with *Hosting Party Module*
- ❑ *etc..*



World Class Standards

Summary

4th ETSI Security Workshop - Sophia-Antipolis, 13-14 January 2009

Summary

□ SAE/LTE security

- New architecture and business environment require enhancements to 3G security
- Radio interface user plane security terminates in base station site
- Cryptographic separation of keys
- Forward/backward security in handovers
- Different security mechanisms in many inter-working cases with both 3GPP and non-3GPP access networks

□ Home (e)NB security

- New architecture with more exposed locations of NB's
- New types of threats
- Many new countermeasures needed



World Class Standards

For more information:

www.3gpp.org